



### Audit checklist Feed Sector (regular audit)

| Audit details  |               |  |                 |
|--|---------------|--|-----------------|
| Scheme participant   |               |  |                 |
| QS locations audited   |               |  |                 |
| Additional location information, e.g. coordinator or identification number |               |  |                 |
| Name of contact  |               |  |                 |
| Regular audit  | Initial audit | X  | Follow-up audit |
| Unannounced regular audit  | Yes           |  | No              |
| Random sample audit  |               |  |                 |
| Audit of special purpose   |               |  |                 |
| Parallel audit   |               |  |                 |
| Date of audit (from)   |               | Date of audit (until)                      |                 |
| Start of audit (hh:mm)   |               | End of audit (hh:mm)                       |                 |
| Audit duration (hh:mm)   |               |  |                 |
| Combined audit (norm/standard/programme)                                   |               |  |                 |
| Certification body   |               |  |                 |
| First name/surname of auditor  |               |  |                 |
| Repeated D evaluation/general K.O.   |               | Remark repeated D evaluation/general K.O.  |                 |
| Comments   |               |  |                 |
| <b>Preliminary audit result</b>  |               | <b>Number of agreed corrective actions</b> |                 |

\_\_\_\_\_  
Place, date

\_\_\_\_\_  
Signature/s of auditor/s

I hereby confirm the data concerning the company and the audit.

I have received a copy of the audit report (at least front page) and of the corrective actions report.

\_\_\_\_\_  
Place, date

\_\_\_\_\_  
Signature of person responsible

**Company details - Feed sector**

|                                       |  |
|---------------------------------------|--|
| Name of company                       |  |
| Street and house number               |  |
| Postal code and town                  |  |
| Telephone/fax number                  |  |
| Email address                         |  |
| Official registration/approval number |  |
| QS location number                    |  |
| QS identification number              |  |
| Name of person responsible            |  |
| Recognition in third-party system     |  |

**Scope - Feed sector**

| Production scope  | Production number |
|-------------------|-------------------|
| Premix production | 70                |

**Additional information - Feed sector**

**Information on the quality management system**

|   |                 |  |                   |  |         |  |                |
|---|-----------------|--|-------------------|--|---------|--|----------------|
| A valid certificate from an accredited certification body for a QS-recognised QM-System exists. |                 |  |                   |  |         |  |                |
|   | ISO 9001:2015ff |  | ISO 22000:2005 ff |  | IFS     |  | BRC            |
|   | FCA             |  | GMP+ FSA          |  | Fami-QS |  | UFAS/<br>FEMAS |
|   | FSSC 22000      |  |                   |  |         |  |                |
| Certificate valid until   |                 |  |                   |  |         |  |                |

**Information on premix production**

|                                       |  |
|---------------------------------------|--|
| <b>Premix production (in tonnes):</b> |  |
|---------------------------------------|--|

**Precise name of premix:**

|  |
|--|
|  |
|--|

**Company details - Feed sector**

|                                       |  |
|---------------------------------------|--|
| Name of company                       |  |
| Street and house number               |  |
| Postal code and town                  |  |
| Telephone/fax number                  |  |
| Email address                         |  |
| Official registration/approval number |  |
| QS location number                    |  |
| QS identification number              |  |
| Name of person responsible            |  |
| Recognition in third-party system     |  |

**Scope - Feed sector**

| Production scope         |  | Production number |
|--------------------------|--|-------------------|
| <input type="checkbox"/> | Compound feed production                     | 71                |
| <input type="checkbox"/> | Compound feed production (special processes) | 701               |

**Additional information - Feed sector**

**Information on the quality management system**

|   |                 |                          |                   |                          |            |                          |                |
|---|-----------------|--------------------------|-------------------|--------------------------|------------|--------------------------|----------------|
| A valid certificate from an accredited certification body for a QS-recognised QM-System exists. |                 |                          |                   |                          |            |                          |                |
| <input type="checkbox"/>  | ISO 9001:2015ff | <input type="checkbox"/> | ISO 22000:2005 ff | <input type="checkbox"/> | IFS        | <input type="checkbox"/> | BRC            |
| <input type="checkbox"/>  | FCA             | <input type="checkbox"/> | GMP+ FSA          | <input type="checkbox"/> | FSSC 22000 | <input type="checkbox"/> | UFAS/<br>FEMAS |
| <input type="checkbox"/>  | Pastus+         | <input type="checkbox"/> | FAMI-QS           |                          |            |                          |                |
| Certificate valid until   |                 |                          |                   |                          |            |                          |                |

|  |  |
|--|--|
| <b>Compound feed production (in tonnes):</b> |  |
|--|--|

**Company details - Feed sector**

|                                       |                          |  |
|---------------------------------------|--------------------------|--|
| Name of company                       |                          |  |
| Street and house number               |                          |  |
| Postal code and town                  |                          |  |
| Telephone/fax number                  |                          |  |
| Email address                         |                          |  |
| Official registration/approval number |                          |  |
| QS location number                    |                          |  |
| QS identification number              |                          |  |
| Name of person responsible            |                          |  |
| Recognition in third-party system     | <input type="checkbox"/> |  |

**Scope - Feed sector**

| Production scope         |  | Production number |
|--------------------------|--|-------------------|
| <input type="checkbox"/> | Feed material production                     | 72                |
| <input type="checkbox"/> | Feed material production (special processes) | 702               |

**Additional information - Feed sector**

**Information on the quality management system**

|   |                 |                          |                   |                          |           |                          |                |
|---|-----------------|--------------------------|-------------------|--------------------------|-----------|--------------------------|----------------|
| A valid certificate from an accredited certification body for a QS-recognised QM-System exists. |                 |                          |                   |                          |           |                          |                |
| <input type="checkbox"/>  | ISO 9001:2015ff | <input type="checkbox"/> | ISO 22000:2005 ff | <input type="checkbox"/> | IFS       | <input type="checkbox"/> | BRC            |
| <input type="checkbox"/>  | FCA             | <input type="checkbox"/> | GMP+ FSA          | <input type="checkbox"/> |           | <input type="checkbox"/> | UFAS/<br>FEMAS |
| <input type="checkbox"/>  | Pastus+         | <input type="checkbox"/> | FSSC 22000        | <input type="checkbox"/> | EFISC-GTP | <input type="checkbox"/> | FAMI-QS        |
| Certificate valid until   |                 |                          |                   |                          |           |                          |                |

**Information on feed material production**

|   |  |
|---|--|
| <b>Feed material production (in tonnes) :</b> |  |
|---|--|

**Company details - Feed sector**

|                                       |  |
|---------------------------------------|--|
| Name of company                       |  |
| Street and house number               |  |
| Postal code and town                  |  |
| Telephone/fax number                  |  |
| Email address                         |  |
| Official registration/approval number |  |
| QS location number                    |  |
| QS identification number              |  |
| Name of person responsible            |  |
| Recognition in third-party system     |  |

**Scope - Feed sector**

| Production scope  | Production number |
|-------------------|-------------------|
| Private labelling | 74                |

**Additional information - Feed sector**

**Information on the quality management system**

A valid certificate from an accredited certification body for a QS-recognised QM-System exists.

|                          |                 |                          |                   |                          |     |                          |                |
|--------------------------|-----------------|--------------------------|-------------------|--------------------------|-----|--------------------------|----------------|
| <input type="checkbox"/> | ISO 9001:2015ff | <input type="checkbox"/> | ISO 22000:2005 ff | <input type="checkbox"/> | IFS | <input type="checkbox"/> | BRC            |
| <input type="checkbox"/> | FCA             | <input type="checkbox"/> | GMP+ FSA          | <input type="checkbox"/> |     | <input type="checkbox"/> | UFAS/<br>FEMAS |
| <input type="checkbox"/> | Pastus+         | <input type="checkbox"/> | FSSC 22000        | <input type="checkbox"/> |     | <input type="checkbox"/> |                |
| Certificate valid until  |                 |                          |                   |                          |     |                          |                |



**Information on private labelling**

|  |                    |
|--|--------------------|
| <b>Private labelling</b>   |                    |
|  | Contract producer* |
| * Please specify all locations (including locations numbers) visited within the context of contract producing below: |                    |
| <b>Name of the contract producer</b>   |                    |
|  |                    |

|                                       |                             |  |
|---------------------------------------|-----------------------------|--|
| <b>Trade with QS feed (in tonnes)</b> |                             |  |
| <b>Traded feed</b>                    | Please check if applicable. |  |
| Feed additives                        |                             |  |
| Premixes                              |                             |  |
| Compound feed                         |                             |  |
| Feed materials                        |                             |  |

**Company details - Feed sector**

|                                       |  |
|---------------------------------------|--|
| Name of company                       |  |
| Street and house number               |  |
| Postal code and town                  |  |
| Telephone/fax number                  |  |
| Email address                         |  |
| Official registration/approval number |  |
| QS location number                    |  |
| QS identification number              |  |
| Name of person responsible            |  |
| Recognition in third-party system     |  |

**Scope - Feed sector**

|                         |                          |
|-------------------------|--------------------------|
| <b>Production scope</b> | <b>Production number</b> |
| Feed trade              | 76                       |

**Additional information - Feed sector**

**Information on the quality management system**

|   |                 |  |                   |  |            |  |         |
|---|-----------------|--|-------------------|--|------------|--|---------|
| A valid certificate from an accredited certification body for a QS-recognised QM-System exists. |                 |  |                   |  |            |  |         |
|   | ISO 9001:2015ff |  | ISO 22000:2005 ff |  | IFS        |  | BRC     |
|   | FCA             |  | GMP+ FSA          |  | UFAS/FEMAS |  | Pastus+ |
|   | FSSC 22000      |  | FAMI-QS           |  | EFISC-GTP  |  |         |
| Certificate valid until   |                 |  |                   |  |            |  |         |



Qualitätssicherung. Vom Landwirt bis zur Ladentheke.



**Information trade**

|  |                             |  |
|--|-----------------------------|--|
| <b>Trade with QS feed (in tonnes):</b> |                             |  |
| <b>traded feed</b>                     | Please check if applicable. |  |
| Feed additives                         | <input type="checkbox"/>    |  |
| Premixes                               | <input type="checkbox"/>    |  |
| Compound feed                          | <input type="checkbox"/>    |  |
| Feed materials                         | <input type="checkbox"/>    |  |
| Trade incl. storage                    | <input type="checkbox"/>    |  |
| Bulk goods                             | <input type="checkbox"/>    |  |
| Packed goods                           | <input type="checkbox"/>    |  |
| Delivery trade                         | <input type="checkbox"/>    |  |
| Bulk goods                             | <input type="checkbox"/>    |  |
| Packed goods                           | <input type="checkbox"/>    |  |

|                          |  |
|--------------------------|--|
| <input type="checkbox"/> | Location has been visited within the context of multi-site certification |
|--------------------------|--|



**Company details - Feed sector**

|                                       |                          |  |
|---------------------------------------|--------------------------|--|
| Name of company                       |                          |  |
| Street and house number               |                          |  |
| Postal code and town                  |                          |  |
| Telephone/fax number                  |                          |  |
| Email address                         |                          |  |
| Official registration/approval number |                          |  |
| QS location number                    |                          |  |
| QS identification number              |                          |  |
| Name of person responsible            |                          |  |
| Recognition in third-party system     | <input type="checkbox"/> |  |

**Scope - Feed sector**

| Production scope    | production number |
|---------------------|-------------------|
| Additive production | 700               |

**Additional information - Feed sector**

**Information on the quality management system**

A valid certificate from an accredited certification body for a QS-recognised QM-System exists.

|                          |                 |                          |                   |                          |         |                          |                |
|--------------------------|-----------------|--------------------------|-------------------|--------------------------|---------|--------------------------|----------------|
| <input type="checkbox"/> | ISO 9001:2015ff | <input type="checkbox"/> | ISO 22000:2005 ff | <input type="checkbox"/> | IFS     | <input type="checkbox"/> | BRC            |
| <input type="checkbox"/> | FCA             | <input type="checkbox"/> | GMP+ FSA          | <input type="checkbox"/> | Fami-QS | <input type="checkbox"/> | UFAS/<br>FEMAS |
| <input type="checkbox"/> | FSSC 22000      |                          |                   |                          |         |                          |                |
| Certificate valid until  |                 |                          |                   |                          |         |                          |                |

**Information on additive production**

|   |  |
|---|--|
| <b>Additive production (in tonnes):</b> |  |
|---|--|

**Precise name of the feed additives:**

|  |
|--|
|  |
|--|



Qualitätssicherung. **Vom Landwirt bis zur Ladentheke.**



### Company details - Feed sector

|                                       |  |
|---------------------------------------|--|
| Name of company                       |  |
| Street and house number               |  |
| Postal code and town                  |  |
| Telephone/fax number                  |  |
| Email address                         |  |
| Official registration/approval number |  |
| QS location number                    |  |
| QS identification number              |  |
| Name of person responsible            |  |
| Recognition in third-party system     |  |

### Scope - Feed sector

| Production scope                 | Production number |
|----------------------------------|-------------------|
| Storage and transshipment (feed) | 77                |

### Additional information - Feed sector

#### Information to the quality management system

|   |                 |  |                   |  |     |  |                |
|---|-----------------|--|-------------------|--|-----|--|----------------|
| A valid certificate from an accredited certification body for a QS-recognised QM-System exists. |                 |  |                   |  |     |  |                |
|   | ISO 9001:2015ff |  | ISO 22000:2005 ff |  | IFS |  | BRC            |
|   | FCA             |  | GMP+ FSA          |  |     |  | UFAS/<br>FEMAS |
|   | Pastus+         |  | FSSC 22000        |  |     |  |                |
| Certificate valid until   |                 |  |                   |  |     |  |                |

#### Information on storage and transshipment

| Storage and transshipment |   |
|---------------------------|---|
|                           | Storage and transshipment of QS feed as a service                         |
|                           | External storage facilities   |
|                           | Location has been visited within the context of multi-site certification. |

**Company details - Feed sector**

|                                       |  |
|---------------------------------------|--|
| Name of company                       |  |
| Street and house number               |  |
| Postal code and town                  |  |
| Telephone/fax number                  |  |
| Email address                         |  |
| Official registration/approval number |  |
| QS location number                    |  |
| QS identification number              |  |
| Name of person responsible            |  |
| Recognition in third-party system     |  |

**Scope - Feed sector**

| Production scope      | Production number |
|-----------------------|-------------------|
| Road transport (feed) | 78                |

**Additional information - Feed sector**

**Information on the quality management system**

|   |                 |  |                    |  |            |  |                |
|---|-----------------|--|--------------------|--|------------|--|----------------|
| A valid certificate from an accredited certification body for a QS-recognised QM-System exists. |                 |  |                    |  |            |  |                |
|   | ISO 9001:2015ff |  | ISO 22000:2005 ff  |  | IFS        |  | BRC            |
|   | FCA             |  | GMP+ SA            |  | FSSC 22000 |  | UFAS/<br>FEMAS |
|   | Pastus+         |  | Qualimat Transport |  |            |  |                |
| Certificate valid until   |                 |  |                    |  |            |  |                |

**Information on road transport**

|                            |   |
|----------------------------|---|
| <b>Transport (service)</b> |   |
|                            | Road transport  |
|                            | Location has been visited within the context of multi-site certification. |

**Company details - Feed sector**

|                                       |                          |  |
|---------------------------------------|--------------------------|--|
| Name of company                       |                          |  |
| Street and house number               |                          |  |
| Postal code and town                  |                          |  |
| Telephone/fax number                  |                          |  |
| Email address                         |                          |  |
| Official registration/approval number |                          |  |
| QS location number                    |                          |  |
| QS identification number              |                          |  |
| Name of person responsible            |                          |  |
| Recognition in third-party system     | <input type="checkbox"/> |  |

**Scope -Feed sector**

| Production scope                                      | Production number |
|---|-------------------|
| Rail, inland waterway and ocean ship transport (feed) | 79                |

**Additional information - Feed sector**

**Information on the quality management system**

A valid certificate from an accredited certification body for a QS-recognised QM-System exists.

|                          |                 |                          |                   |                          |            |                          |                |
|--------------------------|-----------------|--------------------------|-------------------|--------------------------|------------|--------------------------|----------------|
| <input type="checkbox"/> | ISO 9001:2015ff | <input type="checkbox"/> | ISO 22000:2005 ff | <input type="checkbox"/> | IFS        | <input type="checkbox"/> | BRC            |
| <input type="checkbox"/> | FCA             | <input type="checkbox"/> | GMP+ FSA          | <input type="checkbox"/> | FSSC 22000 | <input type="checkbox"/> | UFAS/<br>FEMAS |
| Certificate valid until  |                 |                          |                   |                          |            |                          |                |

**Information on rail, inland waterway and ocean ship transport**

| Transport (service)      |                      |                          |                           |
|--------------------------|----------------------|--------------------------|---------------------------|
| <input type="checkbox"/> | Rail transport       | <input type="checkbox"/> | Inland waterway transport |
| <input type="checkbox"/> | Ocean ship transport |                          |                           |



Company: \_\_\_\_\_

Date: \_\_\_\_\_

| Requirement no.  | Factor | Filter <sup>1</sup>  |               | Criterion/<br>requirement                  | A | B | C | D/<br>K.O. | E | Comments/corrective<br>action number |
|--|--------|----------------------|---------------|--|---|---|---|------------|---|--------------------------------------|
| <p><b>* = For this requirement the evidence or measurement tool used for evaluation of compliance with the QS requirement must be documented, regardless of the outcome of the assessment.</b></p> |        |                      |               |  |   |   |   |            |   |                                      |
| <p><b>2 General requirements</b></p>   |        |                      |               |  |   |   |   |            |   |                                      |
| <p><b>2.1 General system requirements</b></p>  |        |                      |               |  |   |   |   |            |   |                                      |
| 2.1.1  | 1      | Fp,T,<br>S,R,R<br>WO |               | Company data                               |   |   |   |            |   |                                      |
| 2.1.2  | 1      | Fp,T,<br>S,R,R<br>WO | <b>D=K.O.</b> | Official registration and<br>authorisation |   |   |   |            |   |                                      |
| 2.1.3  | 1      | Fp,T,<br>S,R,R<br>WO | <b>D=K.O.</b> | Incident and crisis<br>management          |   |   |   |            |   |                                      |
| 2.1.4  | 1      | Fp,T,<br>S,R,R<br>WO | <b>D=K.O.</b> | Traceability                               |   |   |   |            |   |                                      |
| <p><b>2.2 Separate QM certification</b></p>  |        |                      |               |  |   |   |   |            |   |                                      |
| 2.2.1  | 1      | Fp,T,<br>S,R,R<br>WO |               | Recognition of a QM<br>certification       |   |   |   |            |   |                                      |



| Require-<br>ment no.  | Factor | Filter <sup>1</sup>  |               | Criterion/<br>requirement                         | A | B | C | D/<br>K.O. | E | Comments/corrective<br>action number |
|---|--------|----------------------|---------------|---|---|---|---|------------|---|--------------------------------------|
| <b>2.3 Structure of a Quality management system</b>             |        |                      |               |   |   |   |   |            |   |                                      |
| 2.3.1   | 1      | Fp,T,<br>S,R,R<br>WO |               | Determination of scope                            |   |   |   |            |   |                                      |
| 2.3.2   | 1      | Fp,T,<br>S,R,R<br>WO |               | Justification for exclusions                      |   |   |   |            |   |                                      |
| <b>2.4 Requirements for the documentation and communication</b> |        |                      |               |   |   |   |   |            |   |                                      |
| 2.4.1   | 1      | Fp,T,<br>S,R,R<br>WO | <b>D=K.O.</b> | Documentation                                     |   |   |   |            |   |                                      |
| 2.4.2   | 1      | Fp,T,<br>S,R,R<br>WO |               | Control of documents                              |   |   |   |            |   |                                      |
| 2.4.3   | 1      | Fp,T,<br>S,R,R<br>WO |               | Safe-keeping                                      |   |   |   |            |   |                                      |
| 2.4.4   | 1      | Fp,T,<br>S,R,R<br>WO |               | Communication                                     |   |   |   |            |   |                                      |
| <b>2.5 Leadership and planning</b>                              |        |                      |               |   |   |   |   |            |   |                                      |
| 2.5.1   | 1      | Fp,T,<br>S,R,R<br>WO |               | Leadership and<br>commitment of top<br>management |   |   |   |            |   |                                      |
| 2.5.2   | 1      | Fp,T,<br>S,R,R<br>WO |               | Quality policy                                    |   |   |   |            |   |                                      |

| Requirement no.                             | Factor | Filter <sup>1</sup>  |  | Criterion/<br>requirement   | A | B | C | D/<br>K.O. | E | Comments/corrective<br>action number |
|---|--------|----------------------|--|---|---|---|---|------------|---|--------------------------------------|
| 2.5.3                                       | 1      | Fp,T,<br>S,R,R<br>WO |  | Quality objectives and<br>planning to achieve them                    |   |   |   |            |   |                                      |
| 2.5.4                                       | 1      | Fp,T,<br>S,R,R<br>WO |  | Roles and responsibilities  |   |   |   |            |   |                                      |
| 2.5.5                                       | 1      | Fp,T,<br>S,R,R<br>WO |  | Planning modifications  |   |   |   |            |   |                                      |
| <b>2.6 Resource management</b>              |        |                      |  |   |   |   |   |            |   |                                      |
| 2.6.1                                       | 1      | Fp,T,<br>S,R,R<br>WO |  | Provision of resources  |   |   |   |            |   |                                      |
| 2.6.2                                       | 1      | Fp,T,<br>S,R,R<br>WO |  | Human resources   |   |   |   |            |   |                                      |
| 2.6.3                                       | 1      | Fp,T,<br>S,R,R<br>WO |  | Infrastructure  |   |   |   |            |   |                                      |
| <b>2.7 Product realisation and services</b> |        |                      |  |   |   |   |   |            |   |                                      |
| 2.7.1                                       | 1      | Fp,T,<br>S,R,R<br>WO |  | Definition and review of<br>requirements for products<br>and services |   |   |   |            |   |                                      |
| 2.7.2                                       | 1      | Fp,T,<br>S,R,R<br>WO |  | Process planning and<br>presentation                                  |   |   |   |            |   |                                      |
| 2.7.3                                       | 1      | Fp,T,<br>S,R,R<br>WO |  | Product realisation and<br>provision of services                      |   |   |   |            |   |                                      |





| Require<br>ment no.                            | Factor | Filter <sup>1</sup>  |               | Criterion/<br>requirement                       | A | B | C | D/<br>K.O. | E | Comments/corrective<br>action number |
|--|--------|----------------------|---------------|---|---|---|---|------------|---|--------------------------------------|
| 2.7.4  | 1      | Fp,T,<br>S,R,R<br>WO |               | Communication with<br>customers                 |   |   |   |            |   |                                      |
| 2.7.5  | 1      | Fp,T,<br>S,R,R<br>WO |               | Development                                     |   |   |   |            |   |                                      |
| <b>2.8 Monitoring, measurement and testing</b> |        |                      |               |   |   |   |   |            |   |                                      |
| 2.8.1  | 1      | Fp,T,<br>S,R,R<br>WO |               | Control of processes                            |   |   |   |            |   |                                      |
| 2.8.2  | 1      | Fp,T,<br>S,R,R<br>WO |               | Control of products                             |   |   |   |            |   |                                      |
| <b>2.9 Evaluation and improvement</b>          |        |                      |               |   |   |   |   |            |   |                                      |
| 2.9.1  | 1      | Fp,T,<br>S,R,R<br>WO | <b>D=K.O.</b> | Supplier evaluation                             |   |   |   |            |   |                                      |
| 2.9.2  | 1      | Fp,T,<br>S,R,R<br>WO |               | Internal audits                                 |   |   |   |            |   |                                      |
| 2.9.3  | 1      | Fp,T,<br>S,R,R<br>WO | <b>D=K.O.</b> | Management review                               |   |   |   |            |   |                                      |
| 2.9.4  | 1      | Fp,T,<br>S,R,R<br>WO |               | Customer complaints                             |   |   |   |            |   |                                      |
| 2.9.5  | 1      | Fp,T,<br>S,R,R<br>WO | <b>D=K.O.</b> | Control of defective<br>products and services * |   |   |   |            |   |                                      |



| Require-<br>ment no.      | Factor | Filter <sup>1</sup>  |               | Criterion/<br>requirement                                  | A | B | C | D/<br>K.O. | E | Comments/corrective<br>action number |
|---------------------------|--------|----------------------|---------------|--|---|---|---|------------|---|--------------------------------------|
| 2.9.6                     | 1      | Fp,T,<br>S,R,R<br>WO |               | Improvement  |   |   |   |            |   |                                      |
| <b>2.10 HACCP concept</b> |        |                      |               |  |   |   |   |            |   |                                      |
| 2.10.1                    | 1      | Fp,T,<br>S,R,R<br>WO | <b>D=K.O.</b> | HACCP concept  |   |   |   |            |   |                                      |
| 2.10.2                    | 1      | Fp,T,<br>S,R,R<br>WO |               | HACCP Team   |   |   |   |            |   |                                      |
| 2.10.3                    | 1      | Fp,T,<br>S,R,R<br>WO |               | Flow charts  |   |   |   |            |   |                                      |
| 2.10.4                    | 1      | Fp,T,<br>S,R,R<br>WO |               | Hazard assessment  |   |   |   |            |   |                                      |
| 2.10.5                    | 1      | Fp,T,<br>S,R,R<br>WO |               | Critical control points<br>(CCP)                           |   |   |   |            |   |                                      |
| 2.10.6                    | 1      | Fp,T,<br>S,R,R<br>WO |               | Limit values for CCP                                       |   |   |   |            |   |                                      |
| 2.10.7                    | 1      | Fp,T,<br>S,R,R<br>WO |               | Monitoring and verification<br>of the limit values for CCP |   |   |   |            |   |                                      |
| 2.10.8                    | 1      | Fp,T,<br>S,R,R<br>WO |               | Corrective actions for CCP                                 |   |   |   |            |   |                                      |
| 2.10.9                    | 1      | Fp,T,<br>S,R,R<br>WO |               | Responsibilities   |   |   |   |            |   |                                      |



| Require-<br>ment no.                       | Factor | Filter <sup>1</sup>  |               | Criterion/<br>requirement                                     | A | B | C | D/<br>K.O. | E | Comments/corrective<br>action number |
|--|--------|----------------------|---------------|---|---|---|---|------------|---|--------------------------------------|
| 2.10.10                                    | 1      | Fp,T,<br>S,R,R<br>WO |               | Documentations  |   |   |   |            |   |                                      |
| 2.10.11                                    | 1      | Fp,T,<br>S,R,R<br>WO |               | HACCP verification  |   |   |   |            |   |                                      |
| <b>3 Requirements for feed production</b>  |        |                      |               |   |   |   |   |            |   |                                      |
| <b>3.1 Product specifications for feed</b> |        |                      |               |   |   |   |   |            |   |                                      |
| 3.1.1                                      | 1      | Fp                   | <b>D=K.O.</b> | Description of the feed<br>produced *                         |   |   |   |            |   |                                      |
| 3.1.2                                      | 1      | Fp                   |               | Information for customers                                     |   |   |   |            |   |                                      |
| 3.1.3                                      | 1      | Fp                   | <b>D=K.O.</b> | Production of feed<br>materials: QS-list of feed<br>materials |   |   |   |            |   |                                      |
| <b>3.2 Supply specifications</b>           |        |                      |               |   |   |   |   |            |   |                                      |
| 3.2.1                                      | 1      | Fp                   |               | Description of raw<br>materials                               |   |   |   |            |   |                                      |
| <b>3.3 Management of raw materials</b>     |        |                      |               |   |   |   |   |            |   |                                      |
| 3.3.1                                      | 1      | Fp                   |               | Supply of unprocessed<br>primary agricultural<br>products     |   |   |   |            |   |                                      |



| Require<br>ment no.  | Factor | Filter <sup>1</sup> |               | Criterion/<br>requirement  | A | B | C | D/<br>K.O. | E | Comments/corrective<br>action number |
|--|--------|---------------------|---------------|--|---|---|---|------------|---|--------------------------------------|
| 3.3.2  | 1      | Fp                  |               | Supply of feed materials:<br>Allowed lists                       |   |   |   |            |   |                                      |
| 3.3.3  | 1      | Fp                  |               | Supply of products from<br>vegetable oils and fats               |   |   |   |            |   |                                      |
| 3.3.4  | 1      | Fp                  |               | Supply and production of<br>feed additives                       |   |   |   |            |   |                                      |
| 3.3.5  | 1      | Fp                  | <b>D=K.O.</b> | Exclusion list   |   |   |   |            |   |                                      |
| 3.3.6  | 1      | Fp                  |               | Receiving raw materials  |   |   |   |            |   |                                      |
| <b>3.4 Certification status of the suppliers</b>   |        |                     |               |  |   |   |   |            |   |                                      |
| 3.4.1  | 1      | Fp                  | <b>D=K.O.</b> | Eligibility of delivery of the<br>suppliers *                    |   |   |   |            |   |                                      |
| 3.4.2  | 1      | Fp                  | <b>D=K.O.</b> | Supply of non-certified<br>suppliers: Gate-Keeper-<br>Regulation |   |   |   |            |   |                                      |
| <b>3.5 Additional requirements for the supply of (former) foodstuffs from food manufacturers</b> |        |                     |               |  |   |   |   |            |   |                                      |
| 3.5.1  | 1      | Fp                  |               | Quality questionnaire  |   |   |   |            |   |                                      |
| 3.5.2  | 1      | Fp                  |               | Process diagram  |   |   |   |            |   |                                      |



| Require<br>ment no.                  | Factor | Filter <sup>1</sup> |               | Criterion/<br>requirement   | A | B | C | D/<br>K.O. | E | Comments/corrective<br>action number |
|--------------------------------------|--------|---------------------|---------------|---|---|---|---|------------|---|--------------------------------------|
| 3.5.3                                | 1      | Fp                  |               | Supplier audits   |   |   |   |            |   |                                      |
| 3.5.4                                | 1      | Fp                  |               | Labelling and cleaning of<br>collection containers                                    |   |   |   |            |   |                                      |
| 3.5.5                                | 1      | Fp                  |               | Transport of raw materials  |   |   |   |            |   |                                      |
| 3.5.6                                | 1      | Fp                  |               | Direct delivery to the<br>customer  |   |   |   |            |   |                                      |
| 3.5.7                                | 1      | Fp                  |               | Evaluation of the supplier<br>audits, quality<br>questionnaire and process<br>diagram |   |   |   |            |   |                                      |
| <b>3.6 Operating equipment</b>       |        |                     |               |   |   |   |   |            |   |                                      |
| 3.6.1                                | 1      | Fp                  |               | Layout of the operating<br>facility   |   |   |   |            |   |                                      |
| 3.6.2                                | 1      | Fp                  | <b>D=K.O.</b> | Guarantee of separation<br>of goods   |   |   |   |            |   |                                      |
| 3.6.3                                | 1      | Fp                  | <b>D=K.O.</b> | Forbiddance of parallel<br>production concerning<br>special fats and oils             |   |   |   |            |   |                                      |
| <b>3.7 Maintenance and servicing</b> |        |                     |               |   |   |   |   |            |   |                                      |
| 3.7.1                                | 1      | Fp                  |               | Maintenance programmes  |   |   |   |            |   |                                      |



| Require-<br>ment no.                         | Factor | Filter <sup>1</sup> |               | Criterion/<br>requirement           | A | B | C | D/<br>K.O. | E | Comments/corrective<br>action number |
|--|--------|---------------------|---------------|-------------------------------------|---|---|---|------------|---|--------------------------------------|
| <b>3.8 Cleaning</b>                          |        |                     |               |                                     |   |   |   |            |   |                                      |
| 3.8.1  | 1      | Fp                  |               | Cleaning plans                      |   |   |   |            |   |                                      |
| 3.8.2  | 1      | Fp                  | <b>D=K.O.</b> | Cleaning and disinfection<br>agents |   |   |   |            |   |                                      |
| 3.8.3  | 1      | Fp                  |               | Cleaning work                       |   |   |   |            |   |                                      |
| <b>3.9 Pest monitoring</b>                   |        |                     |               |                                     |   |   |   |            |   |                                      |
| 3.9.1  | 1      | Fp                  | <b>D=K.O.</b> | Pest prevention and<br>monitoring   |   |   |   |            |   |                                      |
| 3.9.2  | 1      | Fp                  | <b>D=K.O.</b> | Pest control *                      |   |   |   |            |   |                                      |
| 3.9.3  | 1      | Fp                  | <b>D=K.O.</b> | Stock protection *                  |   |   |   |            |   |                                      |
| <b>3.10 Staff hygiene</b>                    |        |                     |               |                                     |   |   |   |            |   |                                      |
| 3.10.1                                       | 1      | Fp                  |               | Hygiene training *                  |   |   |   |            |   |                                      |
| <b>3.11 Regulations for company premises</b> |        |                     |               |                                     |   |   |   |            |   |                                      |



| Require ment no.                              | Factor | Filter <sup>1</sup> |               | Criterion/ requirement                    | A | B | C | D/ K.O. | E | Comments/corrective action number |
|---|--------|---------------------|---------------|---|---|---|---|---------|---|-----------------------------------|
| 3.11.1  | 1      | Fp                  |               | Access to company premises                |   |   |   |         |   |                                   |
| 3.11.2  | 1      | Fp                  |               | Regulations on food, drinks and smoking * |   |   |   |         |   |                                   |
| 3.11.3  | 1      | Fp                  |               | Work by external companies *              |   |   |   |         |   |                                   |
| <b>3.12 Glass and other fragile materials</b> |        |                     |               |   |   |   |   |         |   |                                   |
| 3.12.1  | 1      | Fp                  | <b>D=K.O.</b> | Use of glass and other fragile materials  |   |   |   |         |   |                                   |
| <b>3.13 Waste management</b>                  |        |                     |               |   |   |   |   |         |   |                                   |
| 3.13.1  | 1      | Fp                  | <b>D=K.O.</b> | Waste management and disposal             |   |   |   |         |   |                                   |
| <b>3.14 Use of water</b>                      |        |                     |               |   |   |   |   |         |   |                                   |
| 3.14.1  | 1      | Fp                  | <b>D=K.O.</b> | Water quality                             |   |   |   |         |   |                                   |
| 3.14.2  | 1      | Fp                  |               | Water additives                           |   |   |   |         |   |                                   |
| <b>3.15 Carryover and cross-contamination</b> |        |                     |               |   |   |   |   |         |   |                                   |



| Require ment no.  | Factor | Filter <sup>1</sup> |               | Criterion/ requirement               | A | B | C | D/ K.O. | E | Comments/corrective action number |
|---|--------|---------------------|---------------|--------------------------------------|---|---|---|---------|---|-----------------------------------|
| 3.15.1  | 1      | Fp                  | <b>D=K.O.</b> | Determination of possible carryovers |   |   |   |         |   |                                   |
| 3.15.2  | 1      | Fp                  | <b>D=K.O.</b> | Cross-contamination                  |   |   |   |         |   |                                   |
| <b>3.16 Contamination</b>                               |        |                     |               |                                      |   |   |   |         |   |                                   |
| 3.16.1  | 1      | Fp                  | <b>D=K.O.</b> | Prevention of contamination          |   |   |   |         |   |                                   |
| <b>3.17 Technological additives (processing agents)</b> |        |                     |               |                                      |   |   |   |         |   |                                   |
| 3.17.1  | 1      | Fp                  |               | Use of technological additives       |   |   |   |         |   |                                   |
| <b>3.18 Storage</b>                                     |        |                     |               |                                      |   |   |   |         |   |                                   |
| 3.18.1  | 1      | Fp                  | <b>D=K.O.</b> | Storage management                   |   |   |   |         |   |                                   |
| 3.18.2  | 1      | Fp                  |               | Quality maintenance                  |   |   |   |         |   |                                   |
| 3.18.3  | 1      | Fp                  |               | Use of external storage facilities   |   |   |   |         |   |                                   |
| <b>3.19 Packaging</b>                                   |        |                     |               |                                      |   |   |   |         |   |                                   |



| Require-<br>ment no.                                   | Factor | Filter <sup>1</sup> |               | Criterion/<br>requirement         | A | B | C | D/<br>K.O. | E | Comments/corrective<br>action number |
|--|--------|---------------------|---------------|-----------------------------------|---|---|---|------------|---|--------------------------------------|
| 3.19.1   | 1      | Fp                  |               | Packaging                         |   |   |   |            |   |                                      |
| <b>3.20 Road transport</b>                             |        |                     |               |                                   |   |   |   |            |   |                                      |
| 3.20.1   | 1      | Fp                  |               | Transport of packed goods         |   |   |   |            |   |                                      |
| 3.20.2   | 1      | Fp                  |               | Transport of bulk goods           |   |   |   |            |   |                                      |
| <b>3.21 Commissioning of transport by rail or ship</b> |        |                     |               |                                   |   |   |   |            |   |                                      |
| 3.21.1   | 1      | Fp                  |               | Commissioning a charterer         |   |   |   |            |   |                                      |
| 3.21.2   | 1      | Fp                  |               | Transport of part cargoes         |   |   |   |            |   |                                      |
| <b>3.22 Drying, ventilation and cooling</b>            |        |                     |               |                                   |   |   |   |            |   |                                      |
| 3.22.1   | 1      | Fp                  | <b>D=K.O.</b> | Drying and preservation processes |   |   |   |            |   |                                      |
| 3.22.2   | 1      | Fp                  |               | Controlling the moisture content  |   |   |   |            |   |                                      |
| <b>3.23 Separation of foreign materials</b>            |        |                     |               |                                   |   |   |   |            |   |                                      |

| Require-<br>ment no.   | Factor | Filter <sup>1</sup> |               | Criterion/<br>requirement   | A | B | C | D/<br>K.O. | E | Comments/corrective<br>action number |
|--|--------|---------------------|---------------|---|---|---|---|------------|---|--------------------------------------|
| 3.23.1   | 1      | Fp                  |               | Procedures for separating foreign materials   |   |   |   |            |   |                                      |
| <b>3.24 Declaration of feed and labelling as feed from the QS scheme</b> |        |                     |               |   |   |   |   |            |   |                                      |
| 3.24.1   | 1      | Fp                  | <b>D=K.O.</b> | Article-related labelling as feed from the QS scheme *                                  |   |   |   |            |   |                                      |
| 3.24.2   | 1      | Fp                  |               | Use of QS certification mark  |   |   |   |            |   |                                      |
| <b>3.25 Recording of location numbers (VVVO numbers)</b>                 |        |                     |               |   |   |   |   |            |   |                                      |
| 3.25.1   | 1      | Fp                  |               | Assigning of compound feed deliveries (bulk goods) to the location number (VVVO number) |   |   |   |            |   |                                      |
| <b>3.26 Feed monitoring</b>  |        |                     |               |   |   |   |   |            |   |                                      |
| 3.26.1   | 1      | Fp                  |               | Creation of site-specific QS control plans *  |   |   |   |            |   |                                      |
| 3.26.2   | 1      | Fp                  | <b>D=K.O.</b> | Compliance with QS control plans  |   |   |   |            |   |                                      |
| 3.26.3   | 1      | Fp                  |               | Sampling  |   |   |   |            |   |                                      |
| 3.26.4   | 1      | Fp                  |               | Analysis by QS-recognised laboratories  |   |   |   |            |   |                                      |



| Require-<br>ment no.                          | Factor | Filter <sup>1</sup> |               | Criterion/<br>requirement                    | A | B | C | D/<br>K.O. | E | Comments/corrective<br>action number |
|---|--------|---------------------|---------------|--|---|---|---|------------|---|--------------------------------------|
| 3.26.5  | 1      | Fp                  |               | Feed monitoring: Data<br>entry               |   |   |   |            |   |                                      |
| 3.26.6  | 1      | Fp                  |               | Gate-Keeping: Data entry                     |   |   |   |            |   |                                      |
| 3.26.7  | 1      | Fp                  | <b>D=K.O.</b> | Positive release sampling:<br>Implementation |   |   |   |            |   |                                      |
| 3.26.8  | 1      | Fp                  |               | Positive release sampling:<br>Data entry     |   |   |   |            |   |                                      |
| 3.26.9  | 1      | Fp                  | <b>D=K.O.</b> | Additional control plans:<br>Implementation  |   |   |   |            |   |                                      |
| 3.26.10                                       | 1      | Fp                  |               | Additional control plans:<br>Date entry      |   |   |   |            |   |                                      |
| 3.26.11                                       | 1      | Fp                  | <b>D=K.O.</b> | Ad-hoc monitoring plans:<br>Implementation   |   |   |   |            |   |                                      |
| 3.26.12                                       | 1      | Fp                  |               | Ad-hoc monitoring plans:<br>Data entry       |   |   |   |            |   |                                      |
| <b>3.27 Retained samples</b>                  |        |                     |               |  |   |   |   |            |   |                                      |
| 3.27.1  | 1      | Fp                  | <b>D=K.O.</b> | Accumulation of retained<br>samples          |   |   |   |            |   |                                      |
| <b>3.28 Requirements for Private Labeller</b> |        |                     |               |  |   |   |   |            |   |                                      |

| Require<br>ment no.                          | Factor | Filter <sup>1</sup> |               | Criterion/<br>requirement  | A | B | C | D/<br>K.O. | E | Comments/corrective<br>action number |
|--|--------|---------------------|---------------|--|---|---|---|------------|---|--------------------------------------|
| 3.28.1                                       | 1      | Fp                  | <b>D=K.O.</b> | Private Labeller:<br>Description of the<br>responsibilities *                  |   |   |   |            |   |                                      |
| 3.28.2                                       | 1      | Fp                  | <b>D=K.O.</b> | Private Labeller: Eligibility<br>of delivery of the contract<br>manufacturer * |   |   |   |            |   |                                      |
| <b>4. Requirements for the trade of feed</b> |        |                     |               |  |   |   |   |            |   |                                      |
| <b>4.1 Supply specifications</b>             |        |                     |               |  |   |   |   |            |   |                                      |
| 4.1.1  | 1      | T                   |               | Description of the feed *  |   |   |   |            |   |                                      |
| <b>4.2 Supply of feed</b>                    |        |                     |               |  |   |   |   |            |   |                                      |
| 4.2.1  | 1      | T                   |               | Supply of unprocessed<br>primary agricultural<br>products                      |   |   |   |            |   |                                      |
| 4.2.2  | 1      | T                   |               | Supply of feed materials:<br>Allowed lists                                     |   |   |   |            |   |                                      |
| 4.2.3  | 1      | T                   |               | Supply of products from<br>vegetable oils and fats                             |   |   |   |            |   |                                      |
| 4.2.4  | 1      | T                   | <b>D=K.O.</b> | Exclusion list   |   |   |   |            |   |                                      |
| 4.2.5  | 1      | T                   |               | Receiving feed   |   |   |   |            |   |                                      |

| Require-<br>ment no.                             | Factor | Filter <sup>1</sup> |               | Criterion/<br>requirement                                 | A | B | C | D/<br>K.O. | E | Comments/corrective<br>action number |
|--|--------|---------------------|---------------|---|---|---|---|------------|---|--------------------------------------|
| <b>4.3 Certification status of the suppliers</b> |        |                     |               |   |   |   |   |            |   |                                      |
| 4.3.1  | 1      | T                   | <b>D=K.O.</b> | Eligibility of delivery of the suppliers *                |   |   |   |            |   |                                      |
| 4.3.2  | 1      | T                   | <b>D=K.O.</b> | Supply of non-certified suppliers: Gate-Keeper-Regulation |   |   |   |            |   |                                      |
| <b>4.4 Storage</b>                               |        |                     |               |   |   |   |   |            |   |                                      |
| 4.4.1  | 1      | T                   | <b>D=K.O.</b> | Storage facility environment                              |   |   |   |            |   |                                      |
| 4.4.2  | 1      | T                   | <b>D=K.O.</b> | Storage management  |   |   |   |            |   |                                      |
| 4.4.3  | 1      | T                   | <b>D=K.O.</b> | Guarantee of separation of goods                          |   |   |   |            |   |                                      |
| 4.4.4  | 1      | T                   |               | Use of external storage facilities                        |   |   |   |            |   |                                      |
| <b>4.5 Maintenance and servicing</b>             |        |                     |               |   |   |   |   |            |   |                                      |
| 4.5.1  | 1      | T                   |               | Maintenance programmes                                    |   |   |   |            |   |                                      |
| <b>4.6 Cleaning</b>                              |        |                     |               |   |   |   |   |            |   |                                      |



| Require-<br>ment no.                        | Factor | Filter <sup>1</sup> |               | Criterion/<br>requirement           | A | B | C | D/<br>K.O. | E | Comments/corrective<br>action number |
|---|--------|---------------------|---------------|-------------------------------------|---|---|---|------------|---|--------------------------------------|
| 4.6.1                                       | 1      | T                   |               | Cleaning plans                      |   |   |   |            |   |                                      |
| 4.6.2                                       | 1      | T                   | <b>D=K.O.</b> | Cleaning and disinfection<br>agents |   |   |   |            |   |                                      |
| 4.6.3                                       | 1      | T                   |               | Cleaning work                       |   |   |   |            |   |                                      |
| <b>4.7 Pest monitoring</b>                  |        |                     |               |                                     |   |   |   |            |   |                                      |
| 4.7.1                                       | 1      | T                   | <b>D=K.O.</b> | Pest prevention and<br>monitoring   |   |   |   |            |   |                                      |
| 4.7.2                                       | 1      | T                   | <b>D=K.O.</b> | Pest control *                      |   |   |   |            |   |                                      |
| 4.7.3                                       | 1      | T                   | <b>D=K.O.</b> | Stock protection *                  |   |   |   |            |   |                                      |
| <b>4.8 Staff hygiene</b>                    |        |                     |               |                                     |   |   |   |            |   |                                      |
| 4.8.1                                       | 1      | T                   |               | Hygiene training *                  |   |   |   |            |   |                                      |
| <b>4.9 Regulations for company premises</b> |        |                     |               |                                     |   |   |   |            |   |                                      |
| 4.9.1                                       | 1      | T                   |               | Access to company<br>premises       |   |   |   |            |   |                                      |



| Require-<br>ment no.                          | Factor | Filter <sup>1</sup> |               | Criterion/<br>requirement                    | A | B | C | D/<br>K.O. | E | Comments/corrective<br>action number |
|---|--------|---------------------|---------------|--|---|---|---|------------|---|--------------------------------------|
| 4.9.2   | 1      | T                   |               | Regulations on food,<br>drinks and smoking * |   |   |   |            |   |                                      |
| 4.9.3   | 1      | T                   |               | Work by external<br>companies *              |   |   |   |            |   |                                      |
| <b>4.10 Glass and other fragile materials</b> |        |                     |               |  |   |   |   |            |   |                                      |
| 4.10.1  | 1      | T                   | <b>D=K.O.</b> | Use of glass and other<br>fragile materials  |   |   |   |            |   |                                      |
| <b>4.11 Waste</b>                             |        |                     |               |  |   |   |   |            |   |                                      |
| 4.11.1  | 1      | T                   | <b>D=K.O.</b> | Waste management and<br>disposal             |   |   |   |            |   |                                      |
| <b>4.12 Use of water</b>                      |        |                     |               |  |   |   |   |            |   |                                      |
| 4.12.1  | 1      | T                   | <b>D=K.O.</b> | Water quality                                |   |   |   |            |   |                                      |
| 4.12.2  | 1      | T                   |               | Water additives                              |   |   |   |            |   |                                      |
| <b>4.13 Contamination</b>                     |        |                     |               |  |   |   |   |            |   |                                      |
| 4.13.1  | 1      | T                   | <b>D=K.O.</b> | Prevention of<br>contamination               |   |   |   |            |   |                                      |



| Require-<br>ment no.                                   | Factor | Filter <sup>1</sup> |  | Criterion/<br>requirement | A | B | C | D/<br>K.O. | E | Comments/corrective<br>action number |
|--|--------|---------------------|--|---------------------------|---|---|---|------------|---|--------------------------------------|
| <b>4.14 Stock controls</b>                             |        |                     |  |                           |   |   |   |            |   |                                      |
| 4.14.1   | 1      | T                   |  | Quality maintenance       |   |   |   |            |   |                                      |
| <b>4.15 Packaging</b>                                  |        |                     |  |                           |   |   |   |            |   |                                      |
| 4.15.1   | 1      | T                   |  | Packaging                 |   |   |   |            |   |                                      |
| <b>4.16 Road transport</b>                             |        |                     |  |                           |   |   |   |            |   |                                      |
| 4.16.1   | 1      | T                   |  | Transport of packed goods |   |   |   |            |   |                                      |
| 4.16.2   | 1      | T                   |  | Transport of bulk goods   |   |   |   |            |   |                                      |
| <b>4.17 Commissioning of transport by rail or ship</b> |        |                     |  |                           |   |   |   |            |   |                                      |
| 4.17.1   | 1      | T                   |  | Commissioning a charterer |   |   |   |            |   |                                      |
| 4.17.2   | 1      | T                   |  | Transport of part cargoes |   |   |   |            |   |                                      |
| <b>4.18 Drying, ventilation and cooling</b>            |        |                     |  |                           |   |   |   |            |   |                                      |





| Requirement no.  | Factor | Filter <sup>1</sup> |               | Criterion/<br>requirement   | A | B | C | D/<br>K.O. | E | Comments/corrective<br>action number |
|--|--------|---------------------|---------------|---|---|---|---|------------|---|--------------------------------------|
| 4.18.1   | 1      | T                   | <b>D=K.O.</b> | Drying and preservation processes   |   |   |   |            |   |                                      |
| 4.18.2   | 1      | T                   |               | Controlling the moisture content  |   |   |   |            |   |                                      |
| <b>4.19 Separation of foreign materials</b>                              |        |                     |               |   |   |   |   |            |   |                                      |
| 4.19.1   | 1      | T                   |               | Procedures for separating foreign materials   |   |   |   |            |   |                                      |
| <b>4.20 Declaration of feed and labelling as feed from the QS scheme</b> |        |                     |               |   |   |   |   |            |   |                                      |
| 4.20.1   | 1      | T                   | <b>D=K.O.</b> | Article-related labelling as feed from the QS scheme *                                  |   |   |   |            |   |                                      |
| 4.20.2   | 1      | T                   |               | Use of QS certification mark  |   |   |   |            |   |                                      |
| <b>4.21 Recording of location numbers (VVVO numbers)</b>                 |        |                     |               |   |   |   |   |            |   |                                      |
| 4.21.3   | 1      | T                   |               | Assigning of compound feed deliveries (bulk goods) to the location number (VVVO number) |   |   |   |            |   |                                      |
| <b>4.22 Feed Monitoring</b>  |        |                     |               |   |   |   |   |            |   |                                      |
| 4.22.1   | 1      | T                   |               | Creation of site-specific QS control plans *  |   |   |   |            |   |                                      |



| Require<br>ment no. | Factor | Filter <sup>1</sup> |               | Criterion/<br>requirement                 | A | B | C | D/<br>K.O. | E | Comments/corrective<br>action number |
|---------------------|--------|---------------------|---------------|---|---|---|---|------------|---|--------------------------------------|
| 4.22.2              | 1      | T                   | <b>D=K.O.</b> | Compliance with QS control plans          |   |   |   |            |   |                                      |
| 4.22.3              | 1      | T                   |               | Sampling                                  |   |   |   |            |   |                                      |
| 4.22.4              | 1      | T                   |               | Analysis by QS-recognised laboratories    |   |   |   |            |   |                                      |
| 4.22.5              | 1      | T                   |               | Feed monitoring: Data entry               |   |   |   |            |   |                                      |
| 4.22.6              | 1      | T                   |               | Gate Keeping: Data entry                  |   |   |   |            |   |                                      |
| 4.22.7              | 1      | T                   | <b>D=K.O.</b> | Positive release sampling: Implementation |   |   |   |            |   |                                      |
| 4.22.8              | 1      | T                   |               | Positive release sampling: Data entry     |   |   |   |            |   |                                      |
| 4.22.9              | 1      | T                   | <b>D=K.O.</b> | Additional control plans: Implementation  |   |   |   |            |   |                                      |
| 4.22.10             | 1      | T                   |               | Additional control plans: Data entry      |   |   |   |            |   |                                      |
| 4.22.11             | 1      | T                   | <b>D=K.O.</b> | Ad-hoc monitoring plans: Implementation   |   |   |   |            |   |                                      |
| 4.22.12             | 1      | T                   |               | Ad-hoc monitoring plans: Data entry       |   |   |   |            |   |                                      |



| Require<br>ment no.   | Factor | Filter <sup>1</sup> |               | Criterion/<br>requirement                        | A | B | C | D/<br>K.O. | E | Comments/corrective<br>action number |
|---|--------|---------------------|---------------|--|---|---|---|------------|---|--------------------------------------|
| <b>4.23 Retained samples</b>  |        |                     |               |  |   |   |   |            |   |                                      |
| 4.23.1  | 1      | T                   | <b>D=K.O.</b> | Accumulation of retained samples                 |   |   |   |            |   |                                      |
| <b>5 Requirements for the transport of feed by road</b>             |        |                     |               |  |   |   |   |            |   |                                      |
| <b>5.1 Process descriptions</b>                                     |        |                     |               |  |   |   |   |            |   |                                      |
| 5.1.1   | 1      | R                   | <b>D=K.O.</b> | Determination of processes                       |   |   |   |            |   |                                      |
| 5.1.2   | 1      | R                   | <b>D=K.O.</b> | Subcontracting                                   |   |   |   |            |   |                                      |
| <b>5.2 Labelling and traceability (documentation of transports)</b> |        |                     |               |  |   |   |   |            |   |                                      |
| 5.2.1   | 1      | R                   |               | Labelling and use of load compartments           |   |   |   |            |   |                                      |
| 5.2.2   | 1      | R                   | <b>D=K.O.</b> | Documentation of journeys *                      |   |   |   |            |   |                                      |
| <b>5.3 Investigation of cleaning measures</b>                       |        |                     |               |  |   |   |   |            |   |                                      |
| 5.3.1   | 1      | R                   | <b>D=K.O.</b> | Investigation of the necessary cleaning measures |   |   |   |            |   |                                      |



| Require-<br>ment no.            | Factor | Filter <sup>1</sup> |               | Criterion/<br>requirement   | A | B | C | D/<br>K.O. | E | Comments/corrective<br>action number |
|---------------------------------|--------|---------------------|---------------|---|---|---|---|------------|---|--------------------------------------|
| 5.3.2                           | 1      | R                   |               | Release procedure after<br>the transport of forbidden<br>freights |   |   |   |            |   |                                      |
| <b>5.4 Cleaning</b>             |        |                     |               |   |   |   |   |            |   |                                      |
| 5.4.1                           | 1      | R                   |               | Compilation of<br>instructions                                    |   |   |   |            |   |                                      |
| 5.4.2                           | 1      | R                   | <b>D=K.O.</b> | Cleaning work   |   |   |   |            |   |                                      |
| 5.4.3                           | 1      | R                   |               | Cleaning and disinfectants<br>agents and water quality            |   |   |   |            |   |                                      |
| 5.4.4                           | 1      | R                   |               | Documentation of cleaning<br>measures                             |   |   |   |            |   |                                      |
| 5.4.5                           | 1      | R                   |               | Effectiveness assessment  |   |   |   |            |   |                                      |
| <b>5.5 Transport operations</b> |        |                     |               |   |   |   |   |            |   |                                      |
| 5.5.1                           | 1      | R                   |               | Inspections prior to<br>loading                                   |   |   |   |            |   |                                      |
| 5.5.2                           | 1      | R                   | <b>D=K.O.</b> | Quality maintenance of<br>feed                                    |   |   |   |            |   |                                      |
| <b>5.6 Contamination</b>        |        |                     |               |   |   |   |   |            |   |                                      |



| Require-<br>ment no.  | Factor | Filter <sup>1</sup> |               | Criterion/<br>requirement   | A | B | C | D/<br>K.O. | E | Comments/corrective<br>action number |
|---|--------|---------------------|---------------|---|---|---|---|------------|---|--------------------------------------|
| 5.6.1   | 1      | R                   | <b>D=K.O.</b> | Measures in the case of contamination                                       |   |   |   |            |   |                                      |
| <b>5.7 Vehicles with multiple load compartments</b>         |        |                     |               |   |   |   |   |            |   |                                      |
| 5.7.1   | 1      | R                   | <b>D=K.O.</b> | Requirements for vehicles with multiple load compartments                   |   |   |   |            |   |                                      |
| <b>5.8 Additional requirements for combination vehicles</b> |        |                     |               |   |   |   |   |            |   |                                      |
| 5.8.1   | 1      | R                   | <b>D=K.O.</b> | Technical requirements for combination vehicles                             |   |   |   |            |   |                                      |
| 5.8.2   | 1      | R                   | <b>D=K.O.</b> | Inspections of combination vehicles   |   |   |   |            |   |                                      |
| 5.8.3   | 1      | R                   |               | Cleaning of combination vehicles  |   |   |   |            |   |                                      |
| 5.8.4   | 1      | R                   |               | Protective clothing on combination vehicles                                 |   |   |   |            |   |                                      |
| 5.8.5   | 1      | R                   | <b>D=K.O.</b> | Additional requirements for combination vehicles with a flexible separation |   |   |   |            |   |                                      |
| <b>5.9 Documentations</b>                                   |        |                     |               |   |   |   |   |            |   |                                      |
| 5.9.1   | 1      | R                   |               | Handling documentations   |   |   |   |            |   |                                      |



| Require<br>ment no.  | Factor | Filter <sup>1</sup> |               | Criterion/<br>requirement                     | A | B | C | D/<br>K.O. | E | Comments/corrective<br>action number |
|--|--------|---------------------|---------------|---|---|---|---|------------|---|--------------------------------------|
| <b>5.10 Purchase or leasing of used freight holds</b>  |        |                     |               |   |   |   |   |            |   |                                      |
| 5.10.1   | 1      | R                   |               | Purchase or leasing of used freight holds     |   |   |   |            |   |                                      |
| <b>6 Requirements for the charterer when transporting feed by railway, inland waterways or ocean ships</b> |        |                     |               |   |   |   |   |            |   |                                      |
| <b>6.1 Process descriptions</b>  |        |                     |               |   |   |   |   |            |   |                                      |
| 6.1.1  | 1      | RWO                 | <b>D=K.O.</b> | Determination of processes                    |   |   |   |            |   |                                      |
| <b>6.2 Documentations</b>  |        |                     |               |   |   |   |   |            |   |                                      |
| 6.2.1  | 1      | RWO                 |               | Chartering documents                          |   |   |   |            |   |                                      |
| <b>6.3 Load Compartment Inspections (LCI)</b>  |        |                     |               |   |   |   |   |            |   |                                      |
| 6.3.1  | 1      | RWO                 | <b>D=K.O.</b> | Commissioning a load compartment inspection * |   |   |   |            |   |                                      |
| 6.3.2  | 1      | RWO                 |               | LCI reports *                                 |   |   |   |            |   |                                      |
| <b>6.4 Requirements for transport on inland waterways</b>  |        |                     |               |   |   |   |   |            |   |                                      |



| Require-<br>ment no.   | Factor | Filter <sup>1</sup> |               | Criterion/<br>requirement                                    | A | B | C | D/<br>K.O. | E | Comments/corrective<br>action number |
|--|--------|---------------------|---------------|--|---|---|---|------------|---|--------------------------------------|
| 6.4.1  | 1      | RWO                 | <b>D=K.O.</b> | Inland waterway<br>transport: Commissioning<br>a transporter |   |   |   |            |   |                                      |
| <b>7 Requirements for the storage and transhipment of feed</b> |        |                     |               |  |   |   |   |            |   |                                      |
| <b>7.1 Process descriptions</b>                                |        |                     |               |  |   |   |   |            |   |                                      |
| 7.1.1  | 1      | S                   | <b>D=K.O.</b> | Storage processes  |   |   |   |            |   |                                      |
| <b>7.2 Storage</b>   |        |                     |               |  |   |   |   |            |   |                                      |
| 7.2.1  | 1      | S                   | <b>D=K.O.</b> | Storage facility<br>environment                              |   |   |   |            |   |                                      |
| 7.2.2  | 1      | S                   | <b>D=K.O.</b> | Storage management   |   |   |   |            |   |                                      |
| 7.2.3  | 1      | S                   | <b>D=K.O.</b> | Guarantee of separation<br>of goods                          |   |   |   |            |   |                                      |
| <b>7.3 Maintenance and servicing</b>                           |        |                     |               |  |   |   |   |            |   |                                      |
| 7.3.1  | 1      | S                   |               | Maintenance programmes                                       |   |   |   |            |   |                                      |
| <b>7.4 Cleaning</b>  |        |                     |               |  |   |   |   |            |   |                                      |



| Require<br>ment no.                         | Factor | Filter <sup>1</sup> |               | Criterion/<br>requirement           | A | B | C | D/<br>K.O. | E | Comments/corrective<br>action number |
|---|--------|---------------------|---------------|-------------------------------------|---|---|---|------------|---|--------------------------------------|
| 7.4.1                                       | 1      | S                   |               | Cleaning plans                      |   |   |   |            |   |                                      |
| 7.4.2                                       | 1      | S                   | <b>D=K.O.</b> | Cleaning and disinfection<br>agents |   |   |   |            |   |                                      |
| 7.4.3                                       | 1      | S                   |               | Cleaning work                       |   |   |   |            |   |                                      |
| <b>7.5 Pest monitoring</b>                  |        |                     |               |                                     |   |   |   |            |   |                                      |
| 7.5.1                                       | 1      | S                   | <b>D=K.O.</b> | Pest prevention and<br>monitoring   |   |   |   |            |   |                                      |
| 7.5.2                                       | 1      | S                   | <b>D=K.O.</b> | Pest control *                      |   |   |   |            |   |                                      |
| 7.5.3                                       | 1      | S                   | <b>D=K.O.</b> | Stock protection *                  |   |   |   |            |   |                                      |
| <b>7.6 Staff hygiene</b>                    |        |                     |               |                                     |   |   |   |            |   |                                      |
| 7.6.1                                       | 1      | S                   |               | Hygiene training *                  |   |   |   |            |   |                                      |
| <b>7.7 Regulations for company premises</b> |        |                     |               |                                     |   |   |   |            |   |                                      |
| 7.7.1                                       | 1      | S                   |               | Access to company<br>premises       |   |   |   |            |   |                                      |





| Require-<br>ment no.                         | Factor | Filter <sup>1</sup> |               | Criterion/<br>requirement                    | A | B | C | D/<br>K.O. | E | Comments/corrective<br>action number |
|--|--------|---------------------|---------------|--|---|---|---|------------|---|--------------------------------------|
| 7.7.2  | 1      | S                   |               | Regulations on food,<br>drinks and smoking * |   |   |   |            |   |                                      |
| 7.7.3  | 1      | S                   |               | Work by external<br>companies *              |   |   |   |            |   |                                      |
| <b>7.8 Glass and other fragile materials</b> |        |                     |               |  |   |   |   |            |   |                                      |
| 7.8.1  | 1      | S                   | <b>D=K.O.</b> | Use of glass and other<br>fragile materials  |   |   |   |            |   |                                      |
| <b>7.9 Waste</b>                             |        |                     |               |  |   |   |   |            |   |                                      |
| 7.9.1  | 1      | S                   | <b>D=K.O.</b> | Waste management and<br>disposal             |   |   |   |            |   |                                      |
| <b>7.10 Use of water</b>                     |        |                     |               |  |   |   |   |            |   |                                      |
| 7.10.1                                       | 1      | S                   | <b>D=K.O.</b> | Water quality                                |   |   |   |            |   |                                      |
| 7.10.2                                       | 1      | S                   |               | Water additives                              |   |   |   |            |   |                                      |
| <b>7.11 Contamination</b>                    |        |                     |               |  |   |   |   |            |   |                                      |
| 7.11.1                                       | 1      | S                   | <b>D=K.O.</b> | Prevention of<br>contamination               |   |   |   |            |   |                                      |



| Require<br>ment no.                               | Factor | Filter <sup>1</sup> |               | Criterion/<br>requirement                      | A | B | C | D/<br>K.O. | E | Comments/corrective<br>action number |
|---|--------|---------------------|---------------|--|---|---|---|------------|---|--------------------------------------|
| <b>7.12 Receiving of goods and stock controls</b> |        |                     |               |  |   |   |   |            |   |                                      |
| 7.12.1  | 1      | S                   |               | Receiving of goods                             |   |   |   |            |   |                                      |
| 7.12.2  | 1      | S                   |               | Stock controls                                 |   |   |   |            |   |                                      |
| <b>7.13 Drying, ventilation and cooling</b>       |        |                     |               |  |   |   |   |            |   |                                      |
| 7.13.1  | 1      | S                   | <b>D=K.O.</b> | Drying and preservation<br>processes           |   |   |   |            |   |                                      |
| 7.13.2  | 1      | S                   |               | Controlling the moisture<br>content *          |   |   |   |            |   |                                      |
| <b>7.14 Separation of foreign materials</b>       |        |                     |               |  |   |   |   |            |   |                                      |
| 7.14.1  | 1      | S                   |               | Procedures for separating<br>foreign materials |   |   |   |            |   |                                      |
| <b>7.15 Packaging</b>                             |        |                     |               |  |   |   |   |            |   |                                      |
| 7.15.1  | 1      | S                   |               | Packaging                                      |   |   |   |            |   |                                      |
| <b>I. VLOG add on module "Ohne Gentechnik"</b>    |        |                     |               |  |   |   |   |            |   |                                      |



Qualitätssicherung. Vom Landwirt bis zur Ladentheke.



| Requirement no.   | Factor | Filter <sup>1</sup> |  | Criterion/<br>requirement         | A | B | C | D/<br>K.O. | E | Comments/corrective<br>action number |
|---|--------|---------------------|--|-----------------------------------|---|---|---|------------|---|--------------------------------------|
| <b>I.1 Requirement (only relevant for companies that registered for the VLOG-Additional Module)</b> |        |                     |  |                                   |   |   |   |            |   |                                      |
| I.1.1   | 0      | Fp,T,<br>S,R        |  | Requirements "ohne<br>Gentechnik" |   |   |   |            |   |                                      |



Company: \_\_\_\_\_ Date \_\_\_\_\_

### Calculation of audit result

#### 1. Balance of subtotals

| Calculation               | A | B | C | D | E |
|---------------------------|---|---|---|---|---|
| (1) Number of evaluations |   |   |   |   |   |

|   |  |
|---|--|
| <b>Sum of evaluations (excluding E evaluations)</b> |  |
|---|--|

#### 2. Calculation of the proportion of C and D evaluations\*

|  |  |   |
|--|--|---|
| <b>Proportion of C evaluations</b>       |  | (Number of C evaluations / sum of evaluations ) * 100 |
| <b>Proportion of D evaluations</b>       |  | (Number of D evaluations / sum of evaluations ) * 100 |
| <b>Proportion of C and D evaluations</b> |  | Proportion of C + proportion of D                     |

#### 3. Preliminary audit result

|   | Percentage of C evaluations         | Percentage of D evaluations | Percentage of C+D evaluations | Audit result          |
|---|-------------------------------------|-----------------------------|-------------------------------|-----------------------|
| <p><b>*Status I:</b> If the 5 % target is exceeded, status I will still be assigned if there is only one C-evaluation.</p> <p><b>**Status II:</b> If the percentage with regard to the proportion of D evaluations is exceeded, status II is assigned if only one D evaluation exists and no C evaluation</p> | max. 5,0%                           | 0,0%                        |                               | <b>QS-Status I*</b>   |
|   | max. 10,0%                          | max. 3,0%                   | max. 10%                      | <b>QS-Status II**</b> |
|   | max. 20%                            | max. 10%                    | max. 20%                      | <b>QS-Status III</b>  |
| Number of K.O.  | K.O.                                | <b>Audit not passed.</b>    |                               |                       |
|   | General K.O./ repeated D evaluation | <b>Audit not passed.</b>    |                               |                       |



Qualitätssicherung. Vom Landwirt bis zur Ladentheke.



**Company:**

**Date:**

**Corrective actions report**

I hereby confirm that the following corrective actions were agreed upon between me and the auditor.

The certification body is to be informed no later than the expiry of the deadline set out in the action plan about the implementation of a corrective action.

Place, date

Signature/s of auditor/s

Signature of person responsible

| Serial no. | Requirement No. | Evaluation (C, D/K.O.) | Description of nonconformity | Agreed corrective actions | Scope (T,RWO,Fp,S,R) | Deadline for correction |
|------------|-----------------|------------------------|------------------------------|---------------------------|----------------------|-------------------------|
| 1          |                 |                        |                              |                           |                      |                         |
| 2          |                 |                        |                              |                           |                      |                         |
| 3          |                 |                        |                              |                           |                      |                         |
| 4          |                 |                        |                              |                           |                      |                         |
| 5          |                 |                        |                              |                           |                      |                         |
| 6          |                 |                        |                              |                           |                      |                         |
| 7          |                 |                        |                              |                           |                      |                         |
| 8          |                 |                        |                              |                           |                      |                         |



Qualitätssicherung. Vom Landwirt bis zur Ladentheke.



**Company:**

**Date:**

**Review of the implementation of corrective actions**

Place, date

Signature/s of auditor/s

| Serial no. | Implemented | Not implemented | Comments (if any) | Date |
|------------|-------------|-----------------|-------------------|------|
| 1          |             |                 |                   |      |
| 2          |             |                 |                   |      |
| 3          |             |                 |                   |      |
| 4          |             |                 |                   |      |
| 5          |             |                 |                   |      |
| 6          |             |                 |                   |      |
| 7          |             |                 |                   |      |
| 8          |             |                 |                   |      |