

## Audit checklist Feed Sector (regular audit)

Audit details				
Scheme participant				
QS locations audited				
Additional location information, e.g. coordinator or identification number				
Name of contact				
Regular audit	Initial audit		Follow-up audit	
Unannounced regular audit	Yes		No	
Parallel audit				
Date of audit (from)			Date of audit (until)	
Start of audit (hh:mm)			End of audit (hh:mm)	
Audit duration (hh:mm)				
Combined audit (norm/standard/programme)				
Certification body				
First name/surname of auditor				
Repeated D evaluation/general K.O.		Remark repeated D evaluation/general K.O.		
Comments				
<b>Preliminary audit result</b>			<b>Number of agreed corrective actions</b>	

Place, date

Signature/s of auditor/s

I hereby confirm the data concerning the company and the audit.

I have received a copy of the audit report (at least front page) and of the corrective actions report.

Place, date

Signature of person responsible

### Company details - Feed sector

Name of company		
Street and house number		
Postal code and town		
Telephone/fax number		
Email address		
Official registration/approval number		
QS location number		
QS identification number		
Name of person responsible		
Recognition in third-party system		

### Scope - Feed sector

Production scope	Production number
Premix production	70

### Additional information - Feed sector

#### Information on the quality management system

A valid certificate from an accredited certification body for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2005 ff		IFS		BRC
	FCA		GMP+ FSA		Fami-QS		UFAS/ FEMAS
	FSSC 22000						
Certificate valid until							

#### Information on premix production

<b>Premix production (in tonnes):</b>	
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#### Precise name of premix:

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### Company details - Feed sector

Name of company		
Street and house number		
Postal code and town		
Telephone/fax number		
Email address		
Official registration/approval number		
QS location number		
QS identification number		
Name of person responsible		
Recognition in third-party system		

### Scope - Feed sector

Production scope		Production number
	Compound feed production	71
	Compound feed production (special processes)	701

### Additional information - Feed sector

#### Information on the quality management system

A valid certificate from an accredited certification body for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2005 ff		IFS		BRC
	FCA		GMP+ FSA		FSSC 22000		UFAS/ FEMAS
	Pastus+		FAMI-QS				
Certificate valid until							

#### Information on compound feed production

<b>Compound feed production (in tonnes):</b>	
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### Company details - Feed sector

Name of company		
Street and house number		
Postal code and town		
Telephone/fax number		
Email address		
Official registration/approval number		
QS location number		
QS identification number		
Name of person responsible		
Recognition in third-party system		

### Scope - Feed sector

Production scope		Production number
	Feed material production	72
	Feed material production (special processes)	702

### Additional information - Feed sector

#### Information on the quality management system

A valid certificate from an accredited certification body for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2005 ff		IFS		BRC
	FCA		GMP+ FSA				UFAS/ FEMAS
	Pastus+		FSSC 22000		EFISC-GTP		FAMI-QS
Certificate valid until							

### Information on feed material production

<b>Feed material production (in tonnes) :</b>	
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### Company details - Feed sector

Name of company	
Street and house number	
Postal code and town	
Telephone/fax number	
Email address	
Official registration/approval number	
QS location number	
QS identification number	
Name of person responsible	
Recognition in third-party system	

### Scope - Feed sector

Production scope	Production number
Private labelling	74

### Additional information - Feed sector

#### Information on the quality management system

A valid certificate from an accredited certification body for a QS-recognised QM-System exists.

<input type="checkbox"/>	ISO 9001:2015ff	<input type="checkbox"/>	ISO 22000:2005 ff	<input type="checkbox"/>	IFS	<input type="checkbox"/>	BRC
<input type="checkbox"/>	FCA	<input type="checkbox"/>	GMP+ FSA	<input type="checkbox"/>		<input type="checkbox"/>	UFAS/ FEMAS
<input type="checkbox"/>	Pastus+	<input type="checkbox"/>	FSSC 22000	<input type="checkbox"/>		<input type="checkbox"/>	
Certificate valid until							

**Information on private labelling**

<b>Private labelling</b>	
	Contract producer*
* Please specify all locations (including locations numbers) visited within the context of contract producing below:	
<b>Name of the contract producer</b>	

<b>Trade with QS feed (in tonnes)</b>		
<b>Traded feed</b>	Please check if applicable.	
Feed additives		
Premixes		
Compound feed		
Feed materials		

### Company details - Feed sector

Name of company	
Street and house number	
Postal code and town	
Telephone/fax number	
Email address	
Official registration/approval number	
QS location number	
QS identification number	
Name of person responsible	
Recognition in third-party system	

### Scope - Feed sector

Production scope	Production number
Feed trade	76

### Additional information - Feed sector

#### Information on the quality management system

A valid certificate from an accredited certification body for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2005 ff		IFS		BRC
	FCA		GMP+ FSA		UFAS/FEMAS		Pastus+
	FSSC 22000		FAMI-QS		EFISC-GTP		
Certificate valid until							

**Information trade**

<b>Trade with QS feed (in tonnes):</b>		
<b>traded feed</b>	Please check if applicable.	
Feed additives	<input type="checkbox"/>	
Premixes	<input type="checkbox"/>	
Compound feed	<input type="checkbox"/>	
Feed materials	<input type="checkbox"/>	
Trade incl. storage	<input type="checkbox"/>	
Bulk goods	<input type="checkbox"/>	
Packed goods	<input type="checkbox"/>	
Delivery trade	<input type="checkbox"/>	
Bulk goods	<input type="checkbox"/>	
Packed goods	<input type="checkbox"/>	

<input type="checkbox"/>	Location has been visited within the context of multi-site certification
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### Company details - Feed sector

Name of company		
Street and house number		
Postal code and town		
Telephone/fax number		
Email address		
Official registration/approval number		
QS location number		
QS identification number		
Name of person responsible		
Recognition in third-party system		

### Scope - Feed sector

Production scope	production number
Additive production	700

### Additional information - Feed sector

#### Information on the quality management system

A valid certificate from an accredited certification body for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2005 ff		IFS		BRC
	FCA		GMP+ FSA		Fami-QS		UFAS/ FEMAS
	FSSC 22000						
Certificate valid until							

#### Information on additive production

<b>Additive production (in tonnes):</b>	
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#### Precise name of the feed additives:

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### Company details - Feed sector

Name of company	
Street and house number	
Postal code and town	
Telephone/fax number	
Email address	
Official registration/approval number	
QS location number	
QS identification number	
Name of person responsible	
Recognition in third-party system	

### Scope - Feed sector

Production scope	Production number
Storage and transshipment (feed)	77

### Additional information - Feed sector

#### Information to the quality management system

A valid certificate from an accredited certification body for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2005 ff		IFS		BRC
	FCA		GMP+ FSA				UFAS/ FEMAS
	Pastus+		FSSC 22000				
Certificate valid until							

#### Information on storage and transshipment

Storage and transshipment	
	Storage and transshipment of QS feed as a service
	External storage facilities
	Location has been visited within the context of multi-site certification.

### Company details - Feed sector

Name of company	
Street and house number	
Postal code and town	
Telephone/fax number	
Email address	
Official registration/approval number	
QS location number	
QS identification number	
Name of person responsible	
Recognition in third-party system	

### Scope - Feed sector

Production scope	Production number
Road transport (feed)	78

### Additional information - Feed sector

#### Information on the quality management system

A valid certificate from an accredited certification body for a QS-recognised QM-System exists.

	ISO 9001:2015ff		ISO 22000:2005 ff		IFS		BRC
	FCA		GMP+ SA		FSSC 22000		UFAS/ FEMAS
	Pastus+		Qualimat Transport				
Certificate valid until							

### Information on road transport

Transport (service)	
	Road transport
	Location has been visited within the context of multi-site certification.

### Company details - Feed sector

Name of company	
Street and house number	
Postal code and town	
Telephone/fax number	
Email address	
Official registration/approval number	
QS location number	
QS identification number	
Name of person responsible	
Recognition in third-party system	

### Scope -Feed sector

Production scope	Production number
Rail, inland waterway and ocean ship transport (feed)	79

### Additional information - Feed sector

#### Information on the quality management system

A valid certificate from an accredited certification body for a QS-recognised QM-System exists.

<input type="checkbox"/>	ISO 9001:2015ff	<input type="checkbox"/>	ISO 22000:2005 ff	<input type="checkbox"/>	IFS	<input type="checkbox"/>	BRC
<input type="checkbox"/>	FCA	<input type="checkbox"/>	GMP+ FSA	<input type="checkbox"/>	FSSC 22000	<input type="checkbox"/>	UFAS/ FEMAS

Certificate valid until	
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### Information on rail, inland waterway and ocean ship transport

Transport (service)			
<input type="checkbox"/>	Rail transport	<input type="checkbox"/>	Inland waterway transport
<input type="checkbox"/>	Ocean ship transport		

Company \_\_\_\_\_

Date \_\_\_\_\_

Require- ment no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
<p><b>* = For this requirement the evidence or measurement tool used for evaluation of compliance with the QS requirement must be documented, regardless of the outcome of the assessment. # = In case of a nonconformity the corrective action for this criterion has to take place within 28 days (only valid for production and QS-GAP) .</b></p>										
<b>2 General requirements</b>										
<b>2.1 General system requirements</b>										
2.1.1	1	Fp,T,S ,R,RW O		Company data						
2.1.2	1	Fp,T,S ,R,RW O	<b>D=K.O.</b>	Official registration and authorisation						
2.1.3	1	Fp,T,S ,R,RW O	<b>D=K.O.</b>	Incident and crisis management						
2.1.4	1	Fp,T,S ,R,RW O	<b>D=K.O.</b>	Traceability						
<b>2.2 Separate QM certification</b>										
2.2.1	1	Fp,T,S ,R,RW O		Recognition of a QM certification						
<b>2.3 Structure of a Quality management system</b>										
2.3.1	1	Fp,T,S ,R,RW O		Determination of scope						
2.3.2	1	Fp,T,S ,R,RW O		Justification for exclusions						
<b>2.4 Requirements for the documentation and communication</b>										
2.4.1	1	Fp,T,S ,R,RW O	<b>D=K.O.</b>	Documentation						

Require- ment no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
2.4.2	1	Fp,T,S ,R,RW O		Control of documents						
2.4.3	1	Fp,T,S ,R,RW O		Safe-keeping						
2.4.4	1	Fp,T,S ,R,RW O		Communication						
<b>2.5 Leadership and planning</b>										
2.5.1	1	Fp,T,S ,R,RW O		Leadership and commitment of top management						
2.5.2	1	Fp,T,S ,R,RW O		Quality policy						
2.5.3	1	Fp,T,S ,R,RW O		Quality objectives and planning to achieve them						
2.5.4	1	Fp,T,S ,R,RW O		Roles and responsibilities						
2.5.5	1	Fp,T,S ,R,RW O		Planning modifications						
<b>2.6 Resource management</b>										
2.6.1	1	Fp,T,S ,R,RW O		Provision of resources						
2.6.2	1	Fp,T,S ,R,RW O		Human resources						
2.6.3	1	Fp,T,S ,R,RW O		Infrastructure						
<b>2.7 Product realisation and services</b>										
2.7.1	1	Fp,T,S ,R,RW O		Definition and review of requirements for products and services						
2.7.2	1	Fp,T,S ,R,RW O		Process planning and presentation						
2.7.3	1	Fp,T,S ,R,RW O		Product realisation and provision of services						

Require- ment no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
2.7.4	1	Fp,T,S ,R,RW O		Communication with customers						
2.7.5	1	Fp,T,S ,R,RW O		Development						
<b>2.8 Monitoring, measurement and testing</b>										
2.8.1	1	Fp,T,S ,R,RW O		Control of processes						
2.8.2	1	Fp,T,S ,R,RW O		Control of products						
<b>2.9 Evaluation and improvement</b>										
2.9.1	1	Fp,T,S ,R,RW O	<b>D=K.O.</b>	Supplier evaluation						
2.9.2	1	Fp,T,S ,R,RW O		Internal audits						
2.9.3	1	Fp,T,S ,R,RW O	<b>D=K.O.</b>	Management review						
2.9.4	1	Fp,T,S ,R,RW O		Customer complaints						
2.9.5	1	Fp,T,S ,R,RW O	<b>D=K.O.</b>	Control of defective products and services *						
2.9.6	1	Fp,T,S ,R,RW O		Improvement						
<b>2.10 HACCP concept</b>										
2.10.1	1	Fp,T,S ,R,RW O	<b>D=K.O.</b>	HACCP concept						
2.10.2	1	Fp,T,S ,R,RW O		HACCP Team						
2.10.3	1	Fp,T,S ,R,RW O		Flow charts						
2.10.4	1	Fp,T,S ,R,RW O		Hazard assessment						

Require- ment no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
2.10.5	1	Fp,T,S ,R,RW O		Critical control points (CCP)						
2.10.6	1	Fp,T,S ,R,RW O		Limit values for CCP						
2.10.7	1	Fp,T,S ,R,RW O		Monitoring and verification of the limit values for CCP						
2.10.8	1	Fp,T,S ,R,RW O		Corrective actions for CCP						
2.10.9	1	Fp,T,S ,R,RW O		Responsibilities						
2.10.10	1	Fp,T,S ,R,RW O		Documentations						
2.10.11	1	Fp,T,S ,R,RW O		HACCP verification						
<b>3 Requirements for feed production</b>										
<b>3.1 Product specifications for feed</b>										
3.1.1	1	Fp	<b>D=K.O.</b>	Description of the feed produced *						
3.1.2	1	Fp		Information for customers						
3.1.3	1	Fp	<b>D=K.O.</b>	Production of feed materials: QS-list of feed materials						
<b>3.2 Supply specifications</b>										
3.2.1	1	Fp		Description of raw materials						
<b>3.3 Management of raw materials</b>										
3.3.1	1	Fp		Supply of unprocessed primary agricultural products						
3.3.2	1	Fp		Supply of feed materials: Allowed lists						



Require- ment no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.3.3	1	Fp		Supply of products from vegetable oils and fats						
3.3.4	1	Fp		Supply and production of feed additives						
3.3.5	1	Fp	<b>D=K.O.</b>	Exclusion list						
3.3.6	1	Fp		Receiving raw materials						
<b>3.4 Certification status of the suppliers</b>										
3.4.1	1	Fp	<b>D=K.O.</b>	Eligibility of delivery of the suppliers *						
3.4.2	1	Fp	<b>D=K.O.</b>	Supply of non-certified suppliers: Gate-Keeper-Regulation						
<b>3.5 Additional requirements for the supply of (former) foodstuffs from food manufacturers</b>										
3.5.1	1	Fp		Quality questionnaire						
3.5.2	1	Fp		Process diagram						
3.5.3	1	Fp		Supplier audits						
3.5.4	1	Fp		Labelling and cleaning of collection containers						
3.5.5	1	Fp		Transport of raw materials						
3.5.6	1	Fp		Direct delivery to the customer						
3.5.7	1	Fp		Evaluation of the supplier audits, quality questionnaire and process diagram						
<b>3.6 Operating equipment</b>										

Require- ment no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.6.1	1	Fp		Layout of the operating facility						
3.6.2	1	Fp	<b>D=K.O.</b>	Guarantee of separation of goods						
3.6.3	1	Fp	<b>D=K.O.</b>	Forbiddance of parallel production concerning special fats and oils						
<b>3.7 Maintenance and servicing</b>										
3.7.1	1	Fp		Maintenance programmes						
<b>3.8 Cleaning</b>										
3.8.1	1	Fp		Cleaning plans						
3.8.2	1	Fp	<b>D=K.O.</b>	Cleaning and disinfection agents						
3.8.3	1	Fp		Cleaning work						
<b>3.9 Pest monitoring</b>										
3.9.1	1	Fp	<b>D=K.O.</b>	Pest prevention and monitoring						
3.9.2	1	Fp	<b>D=K.O.</b>	Pest control *						
3.9.3	1	Fp	<b>D=K.O.</b>	Stock protection *						
<b>3.10 Staff hygiene</b>										
3.10.1	1	Fp		Hygiene training *						
<b>3.11 Regulations for company premises</b>										
3.11.1	1	Fp		Access to company premises						

Requirement no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.11.2	1	Fp		Regulations on food, drinks and smoking *						
3.11.3	1	Fp		Work by external companies *						
<b>3.12 Glass and other fragile materials</b>										
3.12.1	1	Fp	<b>D=K.O.</b>	Use of glass and other fragile materials						
<b>3.13 Waste management</b>										
3.13.1	1	Fp	<b>D=K.O.</b>	Waste management and disposal						
<b>3.14 Use of water</b>										
3.14.1	1	Fp	<b>D=K.O.</b>	Water quality						
3.14.2	1	Fp		Water additives						
<b>3.15 Carryover and cross-contamination</b>										
3.15.1	1	Fp	<b>D=K.O.</b>	Determination of possible carryovers						
3.15.2	1	Fp	<b>D=K.O.</b>	Cross-contamination						
<b>3.16 Contamination</b>										
3.16.1	1	Fp	<b>D=K.O.</b>	Prevention of contamination						
<b>3.17 Technological additives (processing agents)</b>										
3.17.1	1	Fp		Use of technological additives						
<b>3.18 Storage</b>										

Require- ment no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.18.1	1	Fp	<b>D=K.O.</b>	Storage management						
3.18.2	1	Fp		Quality maintenance						
3.18.3	1	Fp		Use of external storage facilities						
<b>3.19 Packaging</b>										
3.19.1	1	Fp		Packaging						
<b>3.20 Road transport</b>										
3.20.1	1	Fp		Transport of packed goods						
3.20.2	1	Fp		Transport of bulk goods						
<b>3.21 Commissioning of transport by rail or ship</b>										
3.21.1	1	Fp		Commissioning a charterer						
3.21.2	1	Fp		Transport of part cargoes						
<b>3.22 Drying, ventilation and cooling</b>										
3.22.1	1	Fp	<b>D=K.O.</b>	Drying and preservation processes						
3.22.2	1	Fp		Controlling the moisture content and temperature						
<b>3.23 Separation of foreign materials</b>										
3.23.1	1	Fp		Procedures for separating foreign materials						
<b>3.24 Declaration of feed and labelling as feed from the QS scheme</b>										

Requirement no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.24.1	1	Fp	<b>D=K.O.</b>	Article-related labelling as feed from the QS scheme *						
3.24.2	1	Fp		Use of QS certification mark						
<b>3.25 Recording of location numbers (VVVO numbers)</b>										
3.25.1	1	Fp		Assigning of compound feed deliveries (bulk goods) to the location number (VVVO number)						
<b>3.26 Feed monitoring</b>										
3.26.1	1	Fp		Creation of site-specific QS control plans *						
3.26.2	1	Fp	<b>D=K.O.</b>	Compliance with QS control plans						
3.26.3	1	Fp		Sampling						
3.26.4	1	Fp		Analysis by QS-recognised laboratories						
3.26.5	1	Fp		Feed monitoring: Data entry						
3.26.6	1	Fp		Gate-Keeping: Data entry						
3.26.7	1	Fp	<b>D=K.O.</b>	Positive release sampling: Implementation						
3.26.8	1	Fp		Positive release sampling: Data entry						
3.26.9	1	Fp	<b>D=K.O.</b>	Additional control plans: Implementation						
3.26.10	1	Fp		Additional control plans: Date entry						
3.26.11	1	Fp	<b>D=K.O.</b>	Ad-hoc monitoring plans: Implementation						

Require- ment no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.26.12	1	Fp		Ad-hoc monitoring plans: Data entry						
<b>3.27 Retained samples</b>										
3.27.1	1	Fp	<b>D=K.O.</b>	Accumulation of retained samples						
<b>3.28 Requirements for Private Labeller</b>										
3.28.1	1	Fp	<b>D=K.O.</b>	Private Labeller: Description of the responsibilities *						
3.28.2	1	Fp	<b>D=K.O.</b>	Private Labeller: Eligibility of delivery of the contract manufacturer *						
<b>4. Requirements for the trade of feed</b>										
<b>4.1 Supply specifications</b>										
4.1.1	1	T		Description of the feed *						
<b>4.2 Supply of feed</b>										
4.2.1	1	T		Supply of unprocessed primary agricultural products						
4.2.2	1	T		Supply of feed materials: Allowed lists						
4.2.3	1	T		Supply of products from vegetable oils and fats						
4.2.4	1	T	<b>D=K.O.</b>	Exclusion list						
4.2.5	1	T		Receiving feed						
<b>4.3 Certification status of the suppliers</b>										
4.3.1	1	T	<b>D=K.O.</b>	Eligibility of delivery of the suppliers *						

Require- ment no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
4.3.2	1	T	<b>D=K.O.</b>	Supply of non-certified suppliers: Gate-Keeper-Regulation						
<b>4.4 Storage</b>										
4.4.1	1	T	<b>D=K.O.</b>	Storage facility environment						
4.4.2	1	T	<b>D=K.O.</b>	Storage management						
4.4.3	1	T	<b>D=K.O.</b>	Guarantee of separation of goods						
4.4.4	1	T		Use of external storage facilities						
<b>4.5 Maintenance and servicing</b>										
4.5.1	1	T		Maintenance programmes						
<b>4.6 Cleaning</b>										
4.6.1	1	T		Cleaning plans						
4.6.2	1	T	<b>D=K.O.</b>	Cleaning and disinfection agents						
4.6.3	1	T		Cleaning work						
<b>4.7 Pest monitoring</b>										
4.7.1	1	T	<b>D=K.O.</b>	Pest prevention and monitoring						
4.7.2	1	T	<b>D=K.O.</b>	Pest control *						
4.7.3	1	T	<b>D=K.O.</b>	Stock protection *						
<b>4.8 Staff hygiene</b>										

Require- ment no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
4.8.1	1	T		Hygiene training *						
<b>4.9 Regulations for company premises</b>										
4.9.1	1	T		Access to company premises						
4.9.2	1	T		Regulations on food, drinks and smoking *						
4.9.3	1	T		Work by external companies *						
<b>4.10 Glass and other fragile materials</b>										
4.10.1	1	T	<b>D=K.O.</b>	Use of glass and other fragile materials						
<b>4.11 Waste</b>										
4.11.1	1	T	<b>D=K.O.</b>	Waste management and disposal						
<b>4.12 Use of water</b>										
4.12.1	1	T	<b>D=K.O.</b>	Water quality						
4.12.2	1	T		Water additives						
<b>4.13 Contamination</b>										
4.13.1	1	T	<b>D=K.O.</b>	Prevention of contamination						
<b>4.14 Stock controls</b>										
4.14.1	1	T		Quality maintenance						
<b>4.15 Packaging</b>										



Require- ment no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
4.15.1	1	T		Packaging						
<b>4.16 Road transport</b>										
4.16.1	1	T		Transport of packed goods						
4.16.2	1	T		Transport of bulk goods						
<b>4.17 Commissioning of transport by rail or ship</b>										
4.17.1	1	T		Commissioning a charterer						
4.17.2	1	T		Transport of part cargoes						
<b>4.18 Drying, ventilation and cooling</b>										
4.18.1	1	T	<b>D=K.O.</b>	Drying and preservation processes						
4.18.2	1	T		Controlling the moisture content and temperature						
<b>4.19 Separation of foreign materials</b>										
4.19.1	1	T		Procedures for separating foreign materials						
<b>4.20 Declaration of feed and labelling as feed from the QS scheme</b>										
4.20.1	1	T	<b>D=K.O.</b>	Article-related labelling as feed from the QS scheme *						
4.20.2	1	T		Use of QS certification mark						
<b>4.21 Recording of location numbers (VVVO numbers)</b>										

Requirement no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
4.21.3	1	T		Assigning of compound feed deliveries (bulk goods) to the location number (VVVO number)						
<b>4.22 Feed Monitoring</b>										
4.22.1	1	T		Creation of site-specific QS control plans *						
4.22.2	1	T	<b>D=K.O.</b>	Compliance with QS control plans						
4.22.3	1	T		Sampling						
4.22.4	1	T		Analysis by QS-recognised laboratories						
4.22.5	1	T		Feed monitoring: Data entry						
4.22.6	1	T		Gate Keeping: Data entry						
4.22.7	1	T	<b>D=K.O.</b>	Positive release sampling: Implementation						
4.22.8	1	T		Positive release sampling: Data entry						
4.22.9	1	T	<b>D=K.O.</b>	Additional control plans: Implementation						
4.22.10	1	T		Additional control plans: Data entry						
4.22.11	1	T	<b>D=K.O.</b>	Ad-hoc monitoring plans: Implementation						
4.22.12	1	T		Ad-hoc monitoring plans: Data entry						
<b>4.23 Retained samples</b>										
4.23.1	1	T	<b>D=K.O.</b>	Accumulation of retained samples						

Require- ment no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
<b>5 Requirements for the transport of feed by road</b>										
<b>5.1 Process descriptions</b>										
5.1.1	1	R	<b>D=K.O.</b>	Determination of processes						
5.1.2	1	R	<b>D=K.O.</b>	Subcontracting						
<b>5.2 Labelling and traceability (documentation of transports)</b>										
5.2.1	1	R		Labelling and use of load compartments						
5.2.2	1	R	<b>D=K.O.</b>	Documentation of journeys *						
<b>5.3 Investigation of cleaning measures</b>										
5.3.1	1	R	<b>D=K.O.</b>	Investigation of the necessary cleaning measures						
5.3.2	1	R		Release procedure after the transport of forbidden freights						
<b>5.4 Cleaning</b>										
5.4.1	1	R		Compilation of instructions						
5.4.2	1	R	<b>D=K.O.</b>	Cleaning work						
5.4.3	1	R		Cleaning and disinfectants agents and water quality						
5.4.4	1	R		Documentation of cleaning measures						
5.4.5	1	R		Effectiveness assessment						
<b>5.5 Transport operations</b>										

Require- ment no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
5.5.1	1	R		Inspections prior to loading						
5.5.2	1	R	<b>D=K.O.</b>	Quality maintenance of feed						
<b>5.6 Contamination</b>										
5.6.1	1	R	<b>D=K.O.</b>	Measures in the case of contamination						
<b>5.7 Vehicles with multiple load compartments</b>										
5.7.1	1	R	<b>D=K.O.</b>	Requirements for vehicles with multiple load compartments						
<b>5.8 Additional requirements for combination vehicles</b>										
5.8.1	1	R	<b>D=K.O.</b>	Technical requirements for combination vehicles						
5.8.2	1	R	<b>D=K.O.</b>	Inspections of combination vehicles						
5.8.3	1	R		Cleaning of combination vehicles						
5.8.4	1	R		Protective clothing on combination vehicles						
5.8.5	1	R	<b>D=K.O.</b>	Additional requirements for combination vehicles with a flexible separation						
<b>5.9 Documentations</b>										
5.9.1	1	R		Handling documentations						
<b>5.10 Purchase or leasing of used freight holds</b>										
5.10.1	1	R		Purchase or leasing of used freight holds						
<b>6 Requirements for the charterer when transporting feed by railway, inland waterways or ocean ships</b>										

Require- ment no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
<b>6.1 Process descriptions</b>										
6.1.1	1	RWO	<b>D=K.O.</b>	Determination of processes						
<b>6.2 Documentations</b>										
6.2.1	1	RWO		Chartering documents						
<b>6.3 Load Compartment Inspections (LCI)</b>										
6.3.1	1	RWO	<b>D=K.O.</b>	Commissioning a load compartment inspection *						
6.3.2	1	RWO		LCI reports *						
<b>6.4 Requirements for transport on inland waterways</b>										
6.4.1	1	RWO	<b>D=K.O.</b>	Inland waterway transport: Commissioning a transporter						
<b>7 Requirements for the storage and transhipment of feed</b>										
<b>7.1 Process descriptions</b>										
7.1.1	1	S	<b>D=K.O.</b>	Storage processes						
<b>7.2 Storage</b>										
7.2.1	1	S	<b>D=K.O.</b>	Storage facility environment						
7.2.2	1	S	<b>D=K.O.</b>	Storage management						
7.2.3	1	S	<b>D=K.O.</b>	Guarantee of separation of goods						
<b>7.3 Maintenance and servicing</b>										

Require- ment no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
7.3.1	1	S		Maintenance programmes						
<b>7.4 Cleaning</b>										
7.4.1	1	S		Cleaning plans						
7.4.2	1	S	<b>D=K.O.</b>	Cleaning and disinfection agents						
7.4.3	1	S		Cleaning work						
<b>7.5 Pest monitoring</b>										
7.5.1	1	S	<b>D=K.O.</b>	Pest prevention and monitoring						
7.5.2	1	S	<b>D=K.O.</b>	Pest control *						
7.5.3	1	S	<b>D=K.O.</b>	Stock protection *						
<b>7.6 Staff hygiene</b>										
7.6.1	1	S		Hygiene training *						
<b>7.7 Regulations for company premises</b>										
7.7.1	1	S		Access to company premises						
7.7.2	1	S		Regulations on food, drinks and smoking *						
7.7.3	1	S		Work by external companies *						
<b>7.8 Glass and other fragile materials</b>										
7.8.1	1	S	<b>D=K.O.</b>	Use of glass and other fragile materials						

Require- ment no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
<b>7.9 Waste</b>										
7.9.1	1	S	<b>D=K.O.</b>	Waste management and disposal						
<b>7.10 Use of water</b>										
7.10.1	1	S	<b>D=K.O.</b>	Water quality						
7.10.2	1	S		Water additives						
<b>7.11 Contamination</b>										
7.11.1	1	S	<b>D=K.O.</b>	Prevention of contamination						
<b>7.12 Receiving of goods and stock controls</b>										
7.12.1	1	S		Receiving of goods						
7.12.2	1	S		Stock controls						
<b>7.13 Drying, ventilation and cooling</b>										
7.13.1	1	S	<b>D=K.O.</b>	Drying and preservation processes						
7.13.2	1	S		Controlling the moisture content and temperature*						
<b>7.14 Separation of foreign materials</b>										
7.14.1	1	S		Procedures for separating foreign materials						
<b>7.15 Packaging</b>										
7.15.1	1	S		Packaging						



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Requirement no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
<b>I. VLOG add on module "Ohne Gentechnik"</b>										
<b>I.1 Requirement (only relevant for companies that registered for the VLOG-Additional Module)</b>										
I.1.1	0	Fp,T,S ,R		Requirements "ohne Gentechnik"						





Company \_\_\_\_\_ Date \_\_\_\_\_

### Calculation of audit result

#### 1. Balance of subtotals

Calculation	A	B	C	D	E
(1) Number of evaluations					
<b>Sum of evaluations (excluding E evaluations)</b>					

#### 2. Calculation of the proportion of C and D evaluations\*

<b>Proportion of C evaluations</b>		(Number of C evaluations / sum of evaluations ) * 100
<b>Proportion of D evaluations</b>		(Number of D evaluations / sum of evaluations ) * 100
<b>Proportion of C and D evaluations</b>		Proportion of C + proportion of D

#### 3. Preliminary audit result

		Percentage of C evaluations	Percentage of D evaluations	Percentage of C+D evaluations	Audit result
<p><b>*Status I:</b> If the 5 % target is exceeded, status I will still be assigned if there is only one C-evaluation. <b>**Status II:</b> If the percentage with regard to the proportion of D evaluations is exceeded, status II is assigned if only one D evaluation exists and no C evaluation</p>		max. 5,0%	0,0%		<b>QS-Status I*</b>
		max. 10,0%	max. 3,0%	max. 10%	<b>QS-Status II**</b>
		max. 20%	max. 10%	max. 20%	<b>QS-Status III</b>
	Percentages exceeded	<b>Audit not passed.</b>			
<b>Number of K.O.</b>	K.O.	<b>Audit not passed.</b>			
	General K.O./ repeated D evaluation	<b>Audit not passed.</b>			



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**Company:**

**Date:**

### Corrective actions report

I hereby confirm that the following corrective actions were agreed upon between me and the auditor.

The certification body is to be informed no later than the expiry of the deadline set out in the action plan about the implementation of a corrective action.

Place, date		Signature/s of auditor/s		Signature of person responsible		
Serial no.	Requirement No.	Evaluation (C, D/K.O.)	Description of nonconformity	Agreed corrective actions	Scope (T,RWO,Fp,S,R)	Deadline for correction
1						



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**Company:**

**Date:**

**Review of the implementation of corrective actions**

Place, date

Signature/s of auditor/s

<b>Serial no.</b>	<b>Implemented</b>	<b>Not implemented</b>	<b>Comments (if any)</b>	<b>Date</b>
1				