



### Audit checklist Agriculture Breeding Farming (Spotaudit)

Audit details			
Scheme participant			
QS locations audited			
Additional location information, e.g. coordinator or identification number			
Name of contact			
Regular audit	Initial audit		Follow-up audit
Spotaudit	X		
Random sample audit			
Audit of special purpose			
Parallel audit			
Date of audit (from)		Date of audit (until)	
Start of audit (hh:mm)		End of audit (hh:mm)	
Audit duration (hh:mm)			
Combined audit (norm/standard/programme)			
Certification body			
First name/surname of auditor			
Repeated D evaluation/general K.O.		Remark repeated D evaluation/general K.O.	
Comments			
<b>Preliminary audit result</b>		<b>Number of agreed corrective actions</b>	

\_\_\_\_\_  
Place, date

\_\_\_\_\_  
Signature/s of auditor/s

I hereby confirm the data concerning the company and the audit.

I have received a copy of the audit report (at least front page) and of the corrective actions report.

\_\_\_\_\_  
Place, date

\_\_\_\_\_  
Signature of person responsible

**Company details - Breeder farming**

Name of company	
Street and house number	
Postal code and town	
Telephone/fax number	
Email address	
QS location number (VVVO-No.)	
Registered production scope no.	
QS identification number	
Name of person responsible	
Name of coordinator	

**Scope - Breeder farming (Number of places = maximum number of places that can be used)**

Production scope	Production number	Number of places
<b>Breeder Farming</b>		
Broiler breeder farming	301	
Turkey breeder farming	304	

**Additional information - Breeder farming**

	yes	no
On farm mixer (production of own feed materials and compound feedstuff)		
Use of commercial mobile feed milling and mixing plants		
Transport (own animals, own vehicles)		
Transport less than 50 km		
Transport less than 65 km		
Transport over 65 km		



Company: \_\_\_\_\_

Date: \_\_\_\_\_

Require- ment no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
<p><b>* = For this requirement the evidence or measurement tool used for evaluation of compliance with the QS requirement must be documented, regardless of the outcome of the assessment.</b></p>										
<p><b>2 General Requirements</b></p>										
<p><b>2.1 General system requirements</b></p>										
2.1.1 SPOT	1		<b>D=K.O.</b>	General company data						
2.1.2	1			Implementation and documentation of self-assessment *					X	
2.1.3	1			Fulfillment of measures of the self-assessment					X	
2.1.4	1			Incident and crisis management					X	
<p><b>3 Requirements for the keeping of breeding poultry</b></p>										



Requirement no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
<b>3.1 Traceability and labelling</b>										
3.1.1	1			Operational purchases and incoming goods					X	
3.1.2	1		<b>D=K.O.</b>	Marking and identification of livestock					X	
3.1.3	1		<b>D=K.O.</b>	Origin and marketing *					X	
3.1.4 SPOT	1		<b>D=K.O.</b>	Stock records *						
<b>3.2 Animal welfare farming</b>										
3.2.1 SPOT	1		<b>D=K.O.</b>	Monitoring and care of livestock *						
3.2.2 SPOT	1		<b>D=K.O.</b>	General farming requirements *						
3.2.3 SPOT	1		<b>D=K.O.</b>	Handling sick and injured animals *						
3.2.4	1		<b>D=K.O.</b>	Shed floor					X	



Requirement no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.2.5 SPOT	1			Shed climate, temperature, noise, pollution, ventilation						
3.2.6 SPOT	1			Lighting *						
3.2.7 SPOT	1		<b>D=K.O.</b>	Space allowances *						
3.2.8	1		<b>D=K.O.</b>	Alarm system *					X	
3.2.9	1			Emergency power generator					X	
3.2.10	1			Livestock transport					X	
3.2.11 SPOT	1			Transportability						
3.2.12	1			Requirements on loading and unloading equipment					X	
3.2.13 SPOT	1		<b>D=K.O.</b>	Handling livestock during loading						
3.2.14	1		<b>D=K.O.</b>	Proof of competence of the livestock owner					X	

Requirement no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
<b>3.3 Feed and feeding</b>										
3.3.1	1		<b>D=K.O.</b>	Feed supply					X	
3.3.2	1			Hygiene of feeding facilities					X	
3.3.3 SPOT	1			Storage of feed						
3.3.4	1		<b>D=K.O.</b>	Feed procurement *					X	
3.3.5	1			Assignment of compound feed deliveries (bulk) to location numbers					X	
3.3.6	1			Feed production (on-farm mixer)					X	
3.3.7	1			Feed production in cooperation					X	
3.3.8	1		<b>D=K.O.</b>	Use of mobile feed milling and mixing plants					X	
<b>3.4 Drinking water</b>										

Requirement no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.4.1 SPOT	1		<b>D=K.O.</b>	Water supply						
3.4.2 SPOT	1			Hygiene of drinking facilities						
<b>3.5 Animal health/medication</b>										
3.5.1	1			Care contract with farm veterinarian					X	
3.5.2	1		<b>D=K.O.</b>	Implementation of the stock care*					X	
3.5.3	1		<b>D=K.O.</b>	Procurement and application of medicines and vaccines					X	
3.5.4 SPOT	1		<b>D=K.O.</b>	Storage of medicines and vaccines						
3.5.5	1		<b>D=K.O.</b>	Identification of treated livestock					X	
<b>3.6 Hygiene</b>										
3.6.1 SPOT	1			Buildings and equipment						

Requirement no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.6.2 SPOT	1			Hygiene on the farm						
3.6.3 SPOT	1			Handling litter, dung and feed leftovers						
3.6.4 SPOT	1			Carcass storage and pick- up						
3.6.5 SPOT	1			Pest monitoring and control						
3.6.6	1			Cleaning and disinfection measures					X	
<b>3.7 Monitoring programme</b>										
3.7.1	1		<b>D=K.O.</b>	Health surveillance programme					X	
3.7.2	1			Salmonella monitoring: measures towards the reduction of salmonella contamination *					X	
3.7.3	1			Documentation of results from the slaughtering process					X	
<b>3.8 Livestock transport</b>										



Requirement no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.8.1	1			Requirements for the transporting of own livestock with own vehicles					X	
3.8.2	1			Requirements for means of transportation					X	
3.8.3	1		<b>D=K.O.</b>	Available space during livestock transport					X	
3.8.4	1			Cleaning and disinfection of means of transportation					X	
3.8.5	1			Delivery documents					X	
3.8.6	1		<b>D=K.O.</b>	Time intervals for feeding and watering as well as duration of transportation and resting times (for livestock transport over 50 km)					X	
3.8.7	1			Transport papers (for livestock transport over 50 km)					X	
3.8.8	1		<b>D=K.O.</b>	Proof of qualification for drivers/carers (for livestock transport over 65 km)					X	



Company: \_\_\_\_\_ Date \_\_\_\_\_

**Calculation of audit result**

**1. Balance of subtotals**

Calculation	A	B	C	D	E
(1) Number of evaluations					

<b>Sum of evaluations (excluding E evaluations)</b>	
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**2. Calculation of the proportion of C and D evaluations\***

<b>Proportion of C evaluations</b>		(Number of C evaluations / sum of evaluations ) * 100
<b>Proportion of D evaluations</b>		(Number of D evaluations / sum of evaluations ) * 100
<b>Proportion of C and D evaluations</b>		Proportion of C + proportion of D

**3. Preliminary audit result**

	Percentage of C evaluations	Percentage of D evaluations	Percentage of C+D evaluations	Audit result
<p><b>*Status I:</b> If the 5 % target is exceeded, status I will still be assigned if there is only one C-evaluation.</p> <p><b>**Status II:</b> If the percentage with regard to the proportion of D evaluations is exceeded, status II is assigned if only one D evaluation exists and no C evaluation</p>	max. 5,0%	0,0%		<b>QS-Status I*</b>
	max. 10,0%	max. 3,0%	max. 10%	<b>QS-Status II**</b>
	max. 20%	max. 10%	max. 20%	<b>QS-Status III</b>
Number of K.O.	<p>Percentages exceeded</p>			<b>Audit not passed.</b>
	K.O.	<b>Audit not passed.</b>		
	General K.O./ repeated D evaluation	<b>Audit not passed.</b>		



Qualitätssicherung. Vom Landwirt bis zur Ladentheke.



**Company:**

**Date:**

**Corrective actions report**

I hereby confirm that the following corrective actions were agreed upon between me and the auditor.

The certification body is to be informed no later than the expiry of the deadline set out in the action plan about the implementation of a corrective action.

Place, date

Signature/s of auditor/s

Signature of person responsible

Serial no.	Requirement No.	Evaluation (C, D/K.O.)	Description of nonconformity	Agreed corrective actions	Scope	Deadline for correction
1						
2						
3						
4						
5						
6						
7						
8						



Qualitätssicherung. Vom Landwirt bis zur Ladentheke.



**Company:**

**Date:**

**Review of the implementation of corrective actions**

Place, date

Signature/s of auditor/s

Serial no.	Implemented	Not implemented	Comments (if any)	Date
1				
2				
3				
4				
5				
6				
7				
8				