

Audit checklist Feed Sector (spot audit) (Recognition)

Audit details			
Scheme participant			
QS locations audited			
Additional location information, e.g. coordinators or identification number			
Name of contact			
Spotaudit	<input checked="" type="checkbox"/>		
Random sample audit	<input type="checkbox"/>		
Audit of special purpose	<input type="checkbox"/>		
Parallel audit	<input type="checkbox"/>		
Date of audit (from)		Date of audit (until)	
Start of audit (hh:mm)		Ende of audit (hh:mm)	
Audit duration (hh:mm)			
Combined audit (norm/standard/programme)	<input type="checkbox"/>		
Certification body			
First name/surname of auditor			
Repeated D evaluation/general K.O.	<input type="checkbox"/>	Remark repeated D evaluation/general K.O.	
Comments			
Preliminary audit results		Number of agreed corrective actions	

Place, date

Signature/s of auditor/s

I hereby confirm the data concerning the company and the audit.

I have received a copy of the audit report (at least front page) and of the corrective actions report.

Place, date

Signature of person responsible

Company details - Feed sector

Name of company		
Street and house number		
Postal code and town		
Telephone/fax number		
Email address		
Official registration/approval number		
QS location number		
QS identification number		
Name of person responsible		
Recognition in third-party system		

Scope - Feed sector

Production scope	Production number
Premix production	70

Additional information - Feed sector

Information on the quality management system

A valid certificate from an accredited certification body for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2005 ff		IFS		BRC
	FCA		GMP+ FSA		Fami-QS		UFAS/ FEMAS
	FSSC 22000						
Certificate valid until							

Information on premix production

Premix production (in tonnes):	
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Precise name of premix:

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Company details - Feed sector

Name of company		
Street and house number		
Postal code and town		
Telephone/fax number		
Email address		
Official registration/approval number		
QS location number		
QS identification number		
Name of person responsible		
Recognition in third-party system		

Scope - Feed sector

Production scope		Production number
	Compound feed production	71
	Compound feed production (special processes)	701

Additional information - Feed sector

Information on the quality management system

A valid certificate from an accredited certification body for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2005 ff		IFS		BRC
	FCA		GMP+ FSA		FSSC 22000		UFAS/ FEMAS
	Pastus+		FAMI-QS				
Certificate valid until							

Information on compound feed production

Compound feed production (in tonnes):	
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Company details - Feed sector

Name of company		
Street and house number		
Postal code and town		
Telephone/fax number		
Email address		
Official registration/approval number		
QS location number		
QS identification number		
Name of person responsible		
Recognition in third-party system		

Scope - Feed sector

Production scope		Production number
	Feed material production	72
	Feed material production (special processes)	702

Additional information - Feed sector

Information on the quality management system

A valid certificate from an accredited certification body for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2005 ff		IFS		BRC
	FCA		GMP+ FSA				UFAS/ FEMAS
	Pastus+		FSSC 22000		EFISC-GTP		FAMI-QS
Certificate valid until							

Information on feed material production

Feed material production (in tonnes) :	
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Company details - Feed sector

Name of company	
Street and house number	
Postal code and town	
Telephone/fax number	
Email address	
Official registration/approval number	
QS location number	
QS identification number	
Name of person responsible	
Recognition in third-party system	

Scope - Feed sector

Production scope	Production number
Private labelling	74

Additional information - Feed sector

Information on the quality management system

A valid certificate from an accredited certification body for a QS-recognised QM-System exists.

	ISO 9001:2015ff		ISO 22000:2005 ff		IFS		BRC
	FCA		GMP+ FSA				UFAS/ FEMAS
	Pastus+		FSSC 22000				
Certificate valid until							

Information on private labelling

Private labelling	
	Contract producer*
* Please specify all locations (including locations numbers) visited within the context of contract producing below:	
Name of the contract producer	

Trade with QS feed (in tonnes)		
Traded feed	Please check if applicable.	
Feed additives		
Premixes		
Compound feed		
Feed materials		

Company details - Feed sector

Name of company	
Street and house number	
Postal code and town	
Telephone/fax number	
Email address	
Official registration/approval number	
QS location number	
QS identification number	
Name of person responsible	
Recognition in third-party system	

Scope - Feed sector

Production scope	Production number
Feed trade	76

Additional information - Feed sector

Information on the quality management system

A valid certificate from an accredited certification body for a QS-recognised QM-System exists.

	ISO 9001:2015ff		ISO 22000:2005 ff		IFS		BRC
	FCA		GMP+ FSA		UFAS/FEMAS		Pastus+
	FSSC 22000		FAMI-QS		EFISC-GTP		
Certificate valid until							

Information trade

Trade with QS feed (in tonnes):		
traded feed	Please check if applicable.	
Feed additives	<input type="checkbox"/>	
Premixes	<input type="checkbox"/>	
Compound feed	<input type="checkbox"/>	
Feed materials	<input type="checkbox"/>	
Trade incl. storage	<input type="checkbox"/>	
Bulk goods	<input type="checkbox"/>	
Packed goods	<input type="checkbox"/>	
Delivery trade	<input type="checkbox"/>	
Bulk goods	<input type="checkbox"/>	
Packed goods	<input type="checkbox"/>	

<input type="checkbox"/>	Location has been visited within the context of multi-site certification
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Company details - Feed sector

Name of company	
Street and house number	
Postal code and town	
Telephone/fax number	
Email address	
Official registration/approval number	
QS location number	
QS identification number	
Name of person responsible	
Recognition in third-party system	

Scope - Feed sector

Production scope	production number
Additive production	700

Additional information - Feed sector

Information on the quality management system

A valid certificate from an accredited certification body for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2005 ff		IFS		BRC
	FCA		GMP+ FSA		Fami-QS		UFAS/ FEMAS
	FSSC 22000						
Certificate valid until							

Information on additive production

Additive production (in tonnes):	
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Precise name of the feed additives:

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Company details - Feed sector

Name of company	
Street and house number	
Postal code and town	
Telephone/fax number	
Email address	
Official registration/approval number	
QS location number	
QS identification number	
Name of person responsible	
Recognition in third-party system	

Scope - Feed sector

Production scope	Production number
Storage and transshipment (feed)	77

Additional information - Feed sector

Information to the quality management system

A valid certificate from an accredited certification body for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2005 ff		IFS		BRC
	FCA		GMP+ FSA				UFAS/ FEMAS
	Pastus+		FSSC 22000				
Certificate valid until							

Information on storage and transshipment

Storage and transshipment	
	Storage and transshipment of QS feed as a service
	External storage facilities
	Location has been visited within the context of multi-site certification.

Company details - Feed sector

Name of company	
Street and house number	
Postal code and town	
Telephone/fax number	
Email address	
Official registration/approval number	
QS location number	
QS identification number	
Name of person responsible	
Recognition in third-party system	

Scope - Feed sector

Production scope	Production number
Road transport (feed)	78

Additional information - Feed sector

Information on the quality management system

A valid certificate from an accredited certification body for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2005 ff		IFS		BRC
	FCA		GMP+ SA		FSSC 22000		UFAS/ FEMAS
	Pastus+		Qualimat Transport				
Certificate valid until							

Information on road transport

Transport (service)	
	Road transport
	Location has been visited within the context of multi-site certification.

Company details - Feed sector

Name of company	
Street and house number	
Postal code and town	
Telephone/fax number	
Email address	
Official registration/approval number	
QS location number	
QS identification number	
Name of person responsible	
Recognition in third-party system	

Scope -Feed sector

Production scope	Production number
Rail, inland waterway and ocean ship transport (feed)	79

Additional information - Feed sector

Information on the quality management system

A valid certificate from an accredited certification body for a QS-recognised QM-System exists.

<input type="checkbox"/>	ISO 9001:2015ff	<input type="checkbox"/>	ISO 22000:2005 ff	<input type="checkbox"/>	IFS	<input type="checkbox"/>	BRC
<input type="checkbox"/>	FCA	<input type="checkbox"/>	GMP+ FSA	<input type="checkbox"/>	FSSC 22000	<input type="checkbox"/>	UFAS/ FEMAS

Certificate valid until

Information on rail, inland waterway and ocean ship transport

Transport (service)	
<input type="checkbox"/>	Rail transport
<input type="checkbox"/>	Inland waterway transport
<input type="checkbox"/>	Ocean ship transport

Company _____ Date _____

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
<p>* = For this requirement the evidence or measurement tool used for evaluation of compliance with the QS requirement must be documented, regardless of the outcome of the assessment. # = In case of a nonconformity the corrective action for this criterion has to take place within 28 days (only valid for production and QS-GAP) .</p>										
2 General requirements										
2.1 General system requirements										
2.1.1	1	Fp,T,S ,R,RW O		Company data					X	
2.1.2	1	Fp,T,S ,R,RW O	D=K.O.	Official registration and authorisation					X	
2.1.3	1	Fp,T,S ,R,RW O	D=K.O.	Incident and crisis management					X	
2.1.3	1	Fp,T,S ,R,RW O	D=K.O.	Incident and crisis management						
2.1.4	1	Fp,T,S ,R,RW O	D=K.O.	Traceability					X	
2.1.4	1	Fp,T,S ,R,RW O	D=K.O.	Traceability						
2.2 Separate QM certification										
2.2.1	1	Fp,T,S ,R,RW O		Recognition of a QM certification					X	
2.3 Structure of a Quality management system										
2.3.1	1	Fp,T,S ,R,RW O		Determination of scope					X	
2.3.2	1	Fp,T,S ,R,RW O		Justification for exclusions					X	

Require- ment no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
2.4 Requirements for the documentation and communication										
2.4.1	1	Fp,T,S ,R,RW O	D=K.O.	Documentation					X	
2.4.2	1	Fp,T,S ,R,RW O		Control of documents					X	
2.4.3	1	Fp,T,S ,R,RW O		Safe-keeping					X	
2.4.4	1	Fp,T,S ,R,RW O		Communication					X	
2.5 Leadership and planning										
2.5.1	1	Fp,T,S ,R,RW O		Leadership and commiment of top management					X	
2.5.2	1	Fp,T,S ,R,RW O		Quality policy					X	
2.5.3	1	Fp,T,S ,R,RW O		Quality objectives and planning to achieve them					X	
2.5.4	1	Fp,T,S ,R,RW O		Roles and responsibilities					X	
2.5.5	1	Fp,T,S ,R,RW O		Planning modifications					X	
2.6 Resource management										
2.6.1	1	Fp,T,S ,R,RW O		Provision of resources					X	
2.6.2	1	Fp,T,S ,R,RW O		Human resources					X	
2.6.3	1	Fp,T,S ,R,RW O		Infrastructure					X	
2.7 Product realisation and services										
2.7.1	1	Fp,T,S ,R,RW O		Definition and review of requirements for products and services					X	

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
2.7.2	1	Fp,T,S ,R,RW O		Process planning and presentation					X	
2.7.3	1	Fp,T,S ,R,RW O		Product realisation and provision of services					X	
2.7.4	1	Fp,T,S ,R,RW O		Communication with customers					X	
2.7.5	1	Fp,T,S ,R,RW O		Development					X	
2.8 Monitoring, measurement and testing										
2.8.1	1	Fp,T,S ,R,RW O		Control of processes					X	
2.8.2	1	Fp,T,S ,R,RW O		Control of products					X	
2.9 Evaluation and improvement										
2.9.1	1	Fp,T,S ,R,RW O	D=K.O.	Supplier evaluation					X	
2.9.1	1	Fp,T,S ,R,RW O	D=K.O.	Supplier evaluation						
2.9.2	1	Fp,T,S ,R,RW O		Internal audits					X	
2.9.2	1	Fp,T,S ,R,RW O		Internal audits						
2.9.3	1	Fp,T,S ,R,RW O	D=K.O.	Management review					X	
2.9.3	1	Fp,T,S ,R,RW O	D=K.O.	Management evaluation						
2.9.4	1	Fp,T,S ,R,RW O		Customer complaints					X	
2.9.5	1	Fp,T,S ,R,RW O	D=K.O.	Control of defective products and services *					X	
2.9.5	1	Fp,T,S ,R,RW O	D=K.O.	Control of faulty products *						

Require- ment no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
2.9.6	1	Fp,T,S ,R,RW O		Improvement					X	
2.10 HACCP concept										
2.10.1	1	Fp,T,S ,R,RW O	D=K.O.	HACCP concept					X	
2.10.1 Ar	1	Fp,T,S ,R,RW O	D=K.O.	HACCP concept						
2.10.2	1	Fp,T,S ,R,RW O		HACCP Team					X	
2.10.2 Ar	1	Fp,T,S ,R,RW O		HACCP Team						
2.10.3	1	Fp,T,S ,R,RW O		Flow charts					X	
2.10.3 Ar	1	Fp,T,S ,R,RW O		Flow charts						
2.10.4	1	Fp,T,S ,R,RW O		Hazard assessment					X	
2.10.4 Ar	1	Fp,T,S ,R,RW O		Hazard assessment						
2.10.5	1	Fp,T,S ,R,RW O		Critical control points (CCP)					X	
2.10.5 Ar	1	Fp,T,S ,R,RW O		Critical control points (CCP)						
2.10.6	1	Fp,T,S ,R,RW O		Limit values for CCP					X	
2.10.6 Ar	1	Fp,T,S ,R,RW O		Limit values for CCP						
2.10.7	1	Fp,T,S ,R,RW O		Monitoring and verification of the limit values for CCP					X	
2.10.7 Ar	1	Fp,T,S ,R,RW O		Monitoring and Verification of the limit values for CCP						
2.10.8	1	Fp,T,S ,R,RW O		Corrective actions for CCP					X	

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
2.10.8 Ar	1	Fp,T,S ,R,RW O		Corrective actions for CCP						
2.10.9	1	Fp,T,S ,R,RW O		Responsibilities					X	
2.10.9 Ar	1	Fp,T,S ,R,RW O		Responsibilities						
2.10.10	1	Fp,T,S ,R,RW O		Documentation					X	
2.10.10 A	1	Fp,T,S ,R,RW O		Documentation						
2.10.11	1	Fp,T,S ,R,RW O		HACCP verification					X	
2.10.11 A	1	Fp,T,S ,R,RW O		HACCP verification						
3 Requirements for feed production										
3.1 Product specifications for feed										
3.1.1	1	Fp	D=K.O.	Description of the feed produced *					X	
3.1.2	1	Fp		Information for customers					X	
3.1.3	1	Fp	D=K.O.	Production of feed materials: QS-list of feed materials					X	
3.2 Supply specifications										
3.2.1	1	Fp		Description of raw materials					X	
3.3 Management of raw materials										
3.3.1	1	Fp		Supply of unprocessed primary agricultural products					X	
3.3.2	1	Fp		Supply of feed materials: Allowed lists					X	

Require- ment no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.3.3	1	Fp		Supply of products from vegetable oils and fats					X	
3.3.4	1	Fp		Supply and production of feed additives					X	
3.3.5	1	Fp	D=K.O.	Exclusion list					X	
3.3.6 Spot	1	Fp		Receiving raw materials						
3.4 Certification status of the suppliers										
3.4.1	1	Fp	D=K.O.	Eligibility of delivery of the suppliers *					X	
3.4.2	1	Fp	D=K.O.	Supply of non-certified suppliers: Gate-Keeper-Regulation					X	
3.5 Additional requirements for the supply of (former) foodstuffs from food manufacturers										
3.5.1	1	Fp		Quality questionnaire					X	
3.5.2	1	Fp		Process diagram					X	
3.5.3	1	Fp		Supplier audits					X	
3.5.4	1	Fp		Labelling and cleaning of collection containers					X	
3.5.5	1	Fp		Transport of raw materials					X	
3.5.6	1	Fp		Direct delivery to the customer					X	
3.5.7	1	Fp		Evaluation of the supplier audits, quality questionnaire and process diagram					X	
3.6 Operating equipment										

Require- ment no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.6.1 Spot	1	Fp		Layout of the operating facility						
3.6.2 Spot	1	Fp	D=K.O.	Guarantee of separation of goods						
3.6.3 Spot	1	Fp	D=K.O.	Forbiddance of parallel production concerning special fats and oils						
3.7 Maintenance and servicing										
3.7.1	1	Fp		Maintenance programmes					X	
3.7.1 An	1	Fp		Maintenance programmes						
3.8 Cleaning										
3.8.1 Spot	1	Fp		Cleaning plans						
3.8.2 Spot	1	Fp	D=K.O.	Cleaning and disinfection agents						
3.8.3 Spot	1	Fp		Cleaning work						
3.9 Pest monitoring										
3.9.1 Spot	1	Fp	D=K.O.	Pest prevention and monitoring						
3.9.2 Spot	1	Fp	D=K.O.	Pest control *						
3.9.3	1	Fp	D=K.O.	Stock protection *					X	
3.10 Staff hygiene										
3.10.1	1	Fp		Hygiene training *					X	
3.10.1 Ar	1	Fp		Hygiene training *						

Require- ment no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.11 Regulations for company premises										
3.11.1 Spot	1	Fp		Access to company premises						
3.11.2 Spot	1	Fp		Regulations on food, drinks and smoking *						
3.11.3	1	Fp		Work by external companies *					X	
3.12 Glass and other fragile materials										
3.12.1 Spot	1	Fp	D=K.O.	Use of glass and other fragile materials						
3.13 Waste management										
3.13.1 Spot	1	Fp	D=K.O.	Waste management and disposal						
3.14 Use of water										
3.14.1	1	Fp	D=K.O.	Water quality					X	
3.14.1 Ar	1	Fp	D=K.O.	Water quality						
3.14.2	1	Fp		Water additives					X	
3.15 Carryover and cross-contamination										
3.15.1	1	Fp	D=K.O.	Determination of possible carryovers					X	
3.15.2	1	Fp	D=K.O.	Cross-contamination					X	
3.15.2 Ar	1	Fp	D=K.O.	Cross-contamination						
3.16 Contamination										

Require- ment no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.16.1 Spot	1	Fp	D=K.O.	Prevention of contamination						
3.17 Technological additives (processing agents)										
3.17.1	1	Fp		Use of technological additives					X	
3.18 Storage										
3.18.1 Spot	1	Fp	D=K.O.	Storage management						
3.18.2 Spot	1	Fp		Quality maintenance						
3.18.3	1	Fp		Use of external storage facilities					X	
3.18.3 Ar	1	Fp		Use of external storage facilities						
3.19 Packaging										
3.19.1 Spot	1	Fp		Packaging						
3.20 Road transport										
3.20.1	1	Fp		Transport of packed goods					X	
3.20.2	1	Fp		Transport of bulk goods					X	
3.20.2 Ar	1	Fp		Transport of bulk goods						
3.21 Commissioning of transport by rail or ship										
3.21.1	1	Fp		Commissioning a charterer					X	
3.21.1 Ar	1	Fp		Commissioning a charterer						

Require- ment no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.21.2	1	Fp		Transport of part cargoes					X	
3.22 Drying, ventilation and cooling										
3.22.1 Spot	1	Fp	D=K.O.	Drying and preservation processes						
3.22.2 Spot	1	Fp		Controlling the moisture content and temperature						
3.23 Separation of foreign materials										
3.23.1 Spot	1	Fp		Procedures for separating foreign materials						
3.24 Declaration of feed and labelling as feed from the QS scheme										
3.24.1 Spot	1	Fp	D=K.O.	Article-related labelling as feed from the QS scheme *						
3.24.2 Spot	1	Fp		Use of QS certification mark						
3.25 Recording of location numbers (VVVO numbers)										
3.25.1	1	Fp		Assigning of compound feed deliveries (bulk goods) to the location number (VVVO number)					X	
3.26 Feed monitoring										
3.26.1	1	Fp		Creation of site-specific QS control plans *					X	
3.26.2	1	Fp	D=K.O.	Compliance with QS control plans					X	
3.26.3	1	Fp		Sampling					X	
3.26.4	1	Fp		Analysis by QS-recognised laboratories					X	

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.26.5	1	Fp		Feed monitoring: Data entry					X	
3.26.5 Ar	1	Fp		Feed monitoring: Data entry						
3.26.6	1	Fp		Gate-Keeping: Data entry					X	
3.26.7	1	Fp	D=K.O.	Positive release sampling: Implementation					X	
3.26.7 Ar	1	Fp	D=K.O.	Positive release sampling: Implementation						
3.26.8	1	Fp		Positive release sampling: Data entry					X	
3.26.8 Ar	1	Fp		Positive release sampling: Data entry						
3.26.9	1	Fp	D=K.O.	Additional control plans: Implementation					X	
3.26.9 Ar	1	Fp	D=K.O.	Additional control plans: Implementation						
3.26.10	1	Fp		Additional control plans: Date entry					X	
3.26.10 A	1	Fp		Additional control plans: Date entry						
3.26.11	1	Fp	D=K.O.	Ad-hoc monitoring plans: Implementation					X	
3.26.11 A	1	Fp	D=K.O.	Ad-hoc monitoring plans: Implementation						
3.26.12	1	Fp		Ad-hoc monitoring plans: Data entry					X	
3.26.12 A	1	Fp		Ad-hoc monitoring plans: Data entry						
3.27 Retained samples										
3.27.1 Spot	1	Fp	D=K.O.	Accumulation of retained samples						

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.28 Requirements for Private Labeller										
3.28.1 Spot	1	Fp		D=K.O. Private Labeller: Description of the responsibilities *						
3.28.2 Spot	1	Fp		D=K.O. Private Labeller: Eligibility of delivery of the contract manufacturer *						
4. Requirements for the trade of feed										
4.1 Supply specifications										
4.1.1	1	T		Description of the feed *					X	
4.1.1 An	1	T		Description of the feed *						
4.2 Supply of feed										
4.2.1	1	T		Supply of unprocessed primary agricultural products					X	
4.2.2	1	T		Supply of feed materials: Allowed lists					X	
4.2.3	1	T		Supply of products from vegetable oils and fats					X	
4.2.4	1	T		D=K.O. Exclusion list					X	
4.2.4 An	1	T		D=K.O. Exclusion list						
4.2.5	1	T		Receiving feed					X	
4.2.5 An	1	T		Receiving feed						
4.3 Certification status of suppliers										
4.3.1	1	T		D=K.O. Eligibility of delivery of the suppliers *					X	

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
4.3.1	Angebot	T	D=K.O.	Eligibility of delivery of the suppliers *						
4.3.2		T	D=K.O.	Supply of non-certified suppliers: Gate-Keeper-Regulation					X	
4.3.2	Angebot	T	D=K.O.	Supply of non-certified suppliers: Gate-Keeper-Regulation						
4.4 Storage										
4.4.1	Spot	T	D=K.O.	Storage facility environment						
4.4.2	Spot	T	D=K.O.	Storage management						
4.4.3	Spot	T	D=K.O.	Guarantee of separation of goods						
4.4.4		T		Use of external storage facilities					X	
4.4.4	Angebot	T		Use of external storage facilities						
4.5 Maintenance and servicing										
4.5.1		T		Maintenance programmes					X	
4.5.1	Angebot	T		Maintenance programmes						
4.6 Cleaning										
4.6.1		T		Cleaning plans					X	
4.6.2	Spot	T	D=K.O.	Cleaning and disinfection agents						
4.6.3	Spot	T		Cleaning work						
4.7 Pest monitoring										

Require- ment no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
4.7.1 Spot	1	T	D=K.O.	Pest prevention and monitoring						
4.7.2 Spot	1	T	D=K.O.	Pest control *						
4.7.3	1	T	D=K.O.	Stock protection *					X	
4.8 Staff hygiene										
4.8.1	1	T		Hygiene training *					X	
4.8.1 An	1	T		Hygiene training *						
4.9 Regulations for company premises										
4.9.1 Spot	1	T		Access to company premises						
4.9.2 Spot	1	T		Regulations on food, drinks and smoking *						
4.9.3	1	T		Work by external companies *					X	
4.10 Glass and other fragile materials										
4.10.1 Spot	1	T	D=K.O.	Use of glass and other fragile materials						
4.11 Waste management										
4.11.1 Spot	1	T	D=K.O.	Waste management and disposal						
4.12 Use of water										
4.12.1	1	T	D=K.O.	Water quality					X	
4.12.1 Ar	1	T	D=K.O.	Water quality						

Require- ment no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
4.12.2	1	T		Water additives					X	
4.13 Contamination										
4.13.1 Spot	1	T	D=K.O.	Prevention of contamination						
4.14 Stock controls										
4.14.1 Spot	1	T		Quality maintenance						
4.15 Packaging										
4.15.1 Spot	1	T		Packaging						
4.16 Road transport										
4.16.1	1	T		Transport of packed goods					X	
4.16.2	1	T		Transport of bulk goods					X	
4.16.2 Ar	1	T		Transport of bulk goods						
4.17 Commissioning of transport by rail or ship										
4.17.1	1	T		Commissioning a charterer					X	
4.17.1 Ar	1	T		Commissioning a charterer						
4.17.2	1	T		Transport of part cargoes					X	
4.18 Drying, ventilation and cooling										
4.18.1 Spot	1	T	D=K.O.	Drying and preservation processes						

Require- ment no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
4.18.2 Spot	1	T		Controlling the moisture content and temperature						
4.19 Separation of foreign materials										
4.19.1 Spot	1	T		Procedures for separating foreign materials						
4.20 Declaration of feed and labelling as feed from the QS scheme										
4.20.1 Spot	1	T	D=K.O.	Article-related labelling as feed from the QS scheme *						
4.20.2 Spot	1	T		Use of QS certification mark						
4.21 Recording of location numbers (VVVO numbers)										
4.21.1	1	T		Assigning of compound feed deliveries (bulk goods) to the location number (VVVO number)					X	
4.22 Feed Monitoring										
4.22.1	1	T		Creation of site-specific QS control plans *					X	
4.22.2	1	T	D=K.O.	Compliance with QS control plans					X	
4.22.3	1	T		Sampling					X	
4.22.4	1	T		Analysis by QS-recognised laboratories					X	
4.22.5	1	T		Feed monitoring: Data entry					X	
4.22.5 Ar	1	T		Feed monitoring: Data entry						
4.22.6	1	T		Gate Keeping: Data entry					X	

Require- ment no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
4.22.7	1	T	D=K.O.	Positive release sampling: Implementation					X	
4.22.7 Ar	1	T	D=K.O.	Positive release sampling: Implementation						
4.22.8	1	T		Positive release sampling: Data entry					X	
4.22.8 Ar	1	T		Positive release sampling: Data entry						
4.22.9	1	T	D=K.O.	Additional control plans: Implementation					X	
4.22.9 Ar	1	T	D=K.O.	Additional control plans: Implementation						
4.22.10	1	T		Additional control plans: Data entry					X	
4.22.10 A	1	T		Additional control plans: Data entry						
4.22.11	1	T	D=K.O.	Ad-hoc monitoring plans: implementation					X	
4.22.11 A	1	T	D=K.O.	Ad-hoc monitoring plans: Implementation						
4.22.12	1	T		Ad-hoc monitoring plans: Data entry					X	
4.22.12 A	1	T		Ad-hoc monitoring plans: Data entry						
4.23	Retained samples									
4.23.1	1	T	D=K.O.	Accumulation of retained samples					X	
5	Requirements for the transportat of feed by road									
5.1	Process descriptions									
5.1.1	1	R	D=K.O.	Determination of processes					X	

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
5.1.1	1	R	D=K.O.	Determination of processes						
5.1.2	1	R	D=K.O.	Subcontracting					X	
5.1.2	1	R	D=K.O.	Subcontracting						
5.2 Labelling and traceability (documentation of transports)										
5.2.1 Spot	1	R		Labelling and use of load compartments						
5.2.2 Spot	1	R	D=K.O.	Documentation of journeys *						
5.3 Investigation of cleaning measures										
5.3.1 Spot	1	R	D=K.O.	Investigation of the necessary cleaning measures						
5.3.2	1	R		Release procedure after the transport of forbidden freights					X	
5.4 Cleaning										
5.4.1	1	R		Compilation of instructions					X	
5.4.2	1	R	D=K.O.	Cleaning work					X	
5.4.2	1	R	D=K.O.	Cleaning work						
5.4.3	1	R		Cleaning and disinfectants agents and water quality					X	
5.4.4 Spot	1	R		Documentation of cleaning measures						
5.4.5	1	R		Effectiveness assessment					X	

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
5.5 Transport operations										
5.5.1	1	R		Inspections prior to loading					X	
5.5.2 Spot	1	R	D=K.O.	Quality maintenance of feed						
5.6 Contamination										
5.6.1	1	R	D=K.O.	Measures in the case of contamination					X	
5.6.1 Anz	1	R	D=K.O.	Measures in the case of contamination						
5.7 Vehicles with multiple load compartments										
5.7.1	1	R	D=K.O.	Requirements for vehicles with multiple load compartments					X	
5.8 Additional requirements for combination vehicles										
5.8.1	1	R	D=K.O.	Technical requirements for combination vehicles					X	
5.8.2	1	R	D=K.O.	Inspections of combination vehicles					X	
5.8.3	1	R		Cleaning of combination vehicles					X	
5.8.4	1	R		Protective clothing on combination vehicles					X	
5.8.5	1	R	D=K.O.	Additional requirements for combination vehicles with a flexible separation					X	
5.9 Documentation										
5.9.1	1	R		Handling documentations					X	
5.9.1 Anz	1	R		Handling documentations						

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
5.10 Purchase or leasing of used freight holds										
5.10.1	1	R		Purchase or leasing of used freight holds					X	
5.10.1	Ar	R		Purchase or leasing of used freight holds						
6 Requirements for the charterer when transporting feed by railway, inland waterways or ocean ships										
6.1 Process descriptions										
6.1.1	1	RWO	D=K.O.	Determination of processes					X	
6.1.1	An	RWO	D=K.O.	Determination of processes						
6.2 Documentation										
6.2.1	1	RWO		Chartering documents					X	
6.2.1	An	RWO		Chartering documents						
6.3 Load Compartment Inspections (LCI)										
6.3.1	Spot	1	RWO	D=K.O.	Commissioning a load compartment inspection *					
6.3.2	Spot	1	RWO		LCI reports *					
6.4 Requirements for transport on inland waterways										
6.4.1	1	RWO	D=K.O.	Inland waterway transport: Commissioning a transporter					X	
7 Requirements for the storage and transhipment of feed										
7.1 Process descriptions										

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
7.1.1	1	S	D=K.O.	Storage processes					X	
7.1.1	1	S	D=K.O.	Storage processes						
7.2 Storage										
7.2.1 Spot	1	S	D=K.O.	Storage facility environment						
7.2.2 Spot	1	S	D=K.O.	Storage management						
7.2.3 Spot	1	S	D=K.O.	Guarantee of separation of goods						
7.3 Maintenance and servicing										
7.3.1	1	S		Maintenance programmes					X	
7.3.1	1	S		Maintenance programmes						
7.4 Cleaning										
7.4.1 Spot	1	S		Cleaning plans						
7.4.2 Spot	1	S	D=K.O.	Cleaning and disinfection agents						
7.4.3 Spot	1	S		Cleaning work						
7.5 Pest monitoring										
7.5.1 Spot	1	S	D=K.O.	Pest prevention and monitoring						
7.5.2 Spot	1	S	D=K.O.	Pest control *						
7.5.3	1	S	D=K.O.	Stock protection *					X	

Require- ment no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
7.6 Staff hygiene										
7.6.1	1	S		Hygiene training *					X	
7.6.1 An	1	S		Hygiene training *						
7.7 Regulation for company premises										
7.7.1 Spot	1	S		Access to company premises						
7.7.2 Spot	1	S		Regulations on food, drinks and smoking *						
7.7.3	1	S		Work by external companies *					X	
7.8 Glass and other fragile materials										
7.8.1 Spot	1	S	D=K.O.	Use of glass and other fragile materials						
7.9 Waste management										
7.9.1 Spot	1	S	D=K.O.	Waste management and disposal						
7.10 Use of water										
7.10.1	1	S	D=K.O.	Water quality					X	
7.10.1 Ar	1	S	D=K.O.	Water quality						
7.10.2	1	S		Water additives					X	
7.11 Contamination										
7.11.1	1	S	D=K.O.	Prevention of contamination					X	

Require- ment no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
7.12 Receiving of goods and stock controls										
7.12.1	1	S		Receiving of goods					X	
7.12.1 Ar	1	S		Receiving of goods						
7.12.2 Spot	1	S		Stock controls						
7.13 Drying, ventilation and cooling										
7.13.1 Spot	1	S	D=K.O.	Drying and preservation processes						
7.13.2 Spot	1	S		Controlling the moisture content and temperature*						
7.14 Separation of foreign materials										
7.14.1 Spot	1	S		Procedures for separating foreign materials						
7.15 Packaging										
7.15.1	1	S		Packaging					X	
I. VLOG add on module "Ohne Gentechnik"										
I.1 Requirement (only relevant for companies that registered for the VLOG-Additional Module)										
I.1.1 Spot	0	Fp,T,S ,R		Requirements "ohne Gentechnik"						



Company _____ Date _____

Calculation of audit result

1. Balance of subtotals

Calculation	A	B	C	D	E
(1) Number of evaluations					
Sum of evaluations (excluding E evaluations)					

2. Calculation of the proportion of C and D evaluations*

Proportion of C evaluations		$(\text{Number of C evaluations} / \text{sum of evaluations}) * 100$
Proportion of D evaluations		$(\text{Number of D evaluations} / \text{sum of evaluations}) * 100$
Proportion of C and D evaluations		Proportion of C + proportion of D

3. Preliminary audit result

		Percentage of C evaluations	Percentage of D evaluations	Percentage of C+D evaluations	Audit result																	
<p>*Status I: If the 5 % target is exceeded, status I will still be assigned if there is only one C-evaluation. **Status II: If the percentage with regard to the proportion of D evaluations is exceeded, status II is assigned if only one D evaluation exists and no C evaluation</p>		max. 5,0%	0,0%		QS-Status I*																	
		max. 10,0%	max. 3,0%	max. 10%	QS-Status II**																	
		max. 20%	max. 10%	max. 20%	QS-Status III																	
<table border="1"> <tr> <td>Number of K.O.</td> <td></td> </tr> </table>		Number of K.O.		<table border="1"> <tr> <td>Percentages exceeded</td> <td colspan="4" style="text-align: center;">Audit not passed.</td> </tr> <tr> <td>K.O.</td> <td colspan="4" style="text-align: center;">Audit not passed.</td> </tr> <tr> <td>General K.O./ repeated D evaluation</td> <td colspan="4" style="text-align: center;">Audit not passed.</td> </tr> </table>				Percentages exceeded	Audit not passed.				K.O.	Audit not passed.				General K.O./ repeated D evaluation	Audit not passed.			
Number of K.O.																						
Percentages exceeded	Audit not passed.																					
K.O.	Audit not passed.																					
General K.O./ repeated D evaluation	Audit not passed.																					



Qualitätssicherung. **Vom Landwirt bis zur Ladentheke.**



Company:

Date:

Corrective actions report

I hereby confirm that the following corrective actions were agreed upon between me and the auditor.

The certification body is to be informed no later than the expiry of the deadline set out in the action plan about the implementation of a corrective action.

Place, date		Signature/s of auditor/s		Signature of person responsible		
Serial no.	Requirement No.	Evaluation (C, D/K.O.)	Description of nonconformity	Agreed corrective actions	Scope (T,RWO,Fp,S,R)	Deadline for correction
1						



Qualitätssicherung. **Vom Landwirt bis zur Ladentheke.**



Company:

Date:

Review of the implementation of corrective actions

Place, date

Signature/s of auditor/s

Serial no.	Implemented	Not implemented	Comments (if any)	Date
1				