



## Audit checklist Agriculture Hatcheries (Spotaudit)

Audit details			
Scheme participant			
QS locations audited			
Additional location information, e.g. coordinators or identification number			
Name of contact			
Spotaudit	<input checked="" type="checkbox"/>		
Random sample audit	<input type="checkbox"/>		
Audit of special purpose	<input type="checkbox"/>		
Parallel audit	<input type="checkbox"/>		
Date of audit (from)		Date of audit (until)	
Start of audit (hh:mm)		Ende of audit (hh:mm)	
Audit duration (hh:mm)			
Combined audit (norm/standard/programme)	<input type="checkbox"/>		
Certification body			
First name/surname of auditor			
Repeated D evaluation/general K.O.	<input type="checkbox"/>	Remark repeated D evaluation/general K.O.	
Comments			
<b>Preliminary audit results</b>		<b>Number of agreed corrective actions</b>	

\_\_\_\_\_  
Place, date

\_\_\_\_\_  
Signature/s of auditor/s

I hereby confirm the data concerning the company and the audit.

I have received a copy of the audit report (at least front page) and of the corrective actions report.

\_\_\_\_\_  
Place, date

\_\_\_\_\_  
Signature of person responsible

### Company details - Hatchery

Name of company	
Street and house number	
Postal code and town	
Telephone/fax number	
Email address	
QS location number (VVVO-No.)	
Registered production scope no.	
QS identification number	
Name of person responsible	

### Scope - Hatchery

Production scope		Production number	Pre -/hatch breeding capacity
<b>Production of day-old chicks</b>			
	Hatchery broiler chicks	50	
	Hatchery turkey chicks	51	



Company \_\_\_\_\_

Date \_\_\_\_\_

Require ment no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
<p><b>* = For this requirement the evidence or measurement tool used for evaluation of compliance with the QS requirement must be documented, regardless of the outcome of the assessment. # = In case of a nonconformity the corrective action for this criterion has to take place within 28 days (only valid for production and QS-GAP) .</b></p>										
<b>2 General Requirements</b>										
<b>2.1 General system requirements</b>										
2.1.1 SPOT	1			General company data						
2.1.2	1			Incident and crisis management					X	
<b>2.2 Company management</b>										
2.2.1	1			Document handling					X	
2.2.2	1			Outer area					X	
<b>2.3 Self-Assessment</b>										
2.3.1	1			Absence of Salmonella					X	
2.3.2	1		<b>D=K.O.</b>	Microbiological tests					X	
2.3.3	1			Hygiene Concept					X	
2.3.4	1			Corrective actions					X	
2.3.5	1			Responsibilities					X	

Require- ment no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
2.3.6	1			Implementation and documentation of self-assessment					X	
<b>2.4 Good hygiene practice</b>										
2.4.1	1			Water hygiene					X	
2.4.2	1			Cleaning and disinfection					X	
2.4.3	1			Pest control					X	
2.4.4 SPOT	1			Technical/structural conditions						
2.4.5 SPOT	1			Room-, equipment- and facility hygiene						
<b>2.5 Staff hygiene</b>										
2.5.1 SPOT	1			General rules of conduct						
2.5.2	1			Staff rooms					X	
2.5.3 SPOT	1			Hygiene sluice						
<b>2.6 Staff training</b>										
2.6.1	1		<b>D=K.O.</b>	Hygiene- and professional training					X	
<b>3 Requirements on handling hatching eggs and chicks</b>										
<b>3.1 General requirements</b>										
3.1.1 SPOT	1		<b>D=K.O.</b>	Traceability *						
3.1.2	1		<b>D=K.O.</b>	Labelling *					X	

Require- ment no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.1.3 SPOT	1		<b>D=K.O.</b>	Animal welfare representative						
3.1.4 SPOT	1		<b>D=K.O.</b>	Handling chicks						
3.1.5 SPOT	1		<b>D=K.O.</b>	Debating of turkey chicks						
3.1.6 SPOT	1			Facilities for loading						
3.1.7	1			Climatic conditions in chick storage facilities					X	
3.1.8	1		<b>D=K.O.</b>	Transport container occupancy					X	
3.1.9 SPOT	1		<b>D=K.O.</b>	Identification of QS breeder flocks *						
3.1.10	1		<b>D=K.O.</b>	Emergency power and cooling water supply, emergency plan					X	
<b>3.2 Hatchery storage and hatching process</b>										
3.2.1 SPOT	1			Order and organisation						
3.2.2	1			Hatching egg access/ Incoming goods inspection					X	
3.2.3	1		<b>D=K.O.</b>	Health surveillance, salmonellae monitoring					X	
3.2.4 SPOT	1			Storage management of hatching eggs						
3.2.5	1		<b>D=K.O.</b>	Conditions of hatching process					X	
<b>3.3 Animal health</b>										
3.3.1 SPOT	1		<b>D=K.O.</b>	Examination of chicks *						
3.3.2 SPOT	1		<b>D=K.O.</b>	Facility for emergency stunning and killing *						

Require- ment no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.3.3	1		<b>D=K.O.</b>	Care contact with farm veterinarian					X	
3.3.4	1			Implementation of veterinary care *					X	
3.3.5	1		<b>D=K.O.</b>	Medicines and vaccines					X	
<b>3.4 Outgoing goods control, complaint management, packaging material</b>										
3.4.1	1			Outgoing goods control *					X	
3.4.2	1			Complaint management					X	
3.4.3	1			Packaging material					X	
<b>3.5 Livestock transport</b>										
3.5.1	1			Check of livestock transport company					X	
<b>4 Further facility tools and rooms</b>										
<b>4.1 Washing of containers and storage of equipment</b>										
4.1.1 SPOT	1			Crate cleaning						
4.1.2	1			Storage room of cleaning an disinfection solution					X	
<b>4.2 Waste disposal</b>										
4.2.1	1			Disposal logistics					X	
<b>4.3 Vehicle fleet</b>										
4.3.1 SPOT	1			Cleaning facilities for transporters						



Requirement no.	Factor	Filter <sup>1</sup>		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
4.3.2	1			Cleaning and disinfection of livestock transporters					X	
4.3.3	1			System of climate management					X	
<b>5 Use of certification mark</b>										
<b>5.1 Use of certification mark</b>										
5.1.1	1		<b>D=K.O.</b>	Use of QS certification mark					X	



Company \_\_\_\_\_ Date \_\_\_\_\_

### Calculation of audit result

#### 1. Balance of subtotals

Calculation	A	B	C	D	E
(1) Number of evaluations					
<b>Sum of evaluations (excluding E evaluations)</b>					

#### 2. Calculation of the proportion of C and D evaluations\*

<b>Proportion of C evaluations</b>		(Number of C evaluations / sum of evaluations )*100
<b>Proportion of D evaluations</b>		(Number of D evaluations / sum of evaluations )*100
<b>Proportion of C and D evaluations</b>		Proportion of C + proportion of D

#### 3. Preliminary audit result

	Percentage of C evaluations	Percentage of D evaluations	Percentage of C+D evaluations	Audit result
<p><b>*Status I:</b> If the 5 % target is exceeded, status I will still be assigned if there is only one C-evaluation. <b>**Status II:</b> If the percentage with regard to the proportion of D evaluations is exceeded, status II is assigned if only one D evaluation exists and no C evaluation</p>	max. 5,0%	0,0%		<b>QS-Status I*</b>
	max. 10,0%	max. 3,0%	max. 10%	<b>QS-Status II**</b>
	max. 20%	max. 10%	max. 20%	<b>QS-Status III</b>
Percentages exceeded	<b>Audit not passed.</b>			
Number of K.O.	K.O.	<b>Audit not passed.</b>		
	General K.O./ repeated D evaluation	<b>Audit not passed.</b>		





Qualitätssicherung. **Vom Landwirt bis zur Ladentheke.**



**Company:**

**Date:**

**Corrective actions report**

I hereby confirm that the following corrective actions were agreed upon between me and the auditor.

The certification body is to be informed no later than the expiry of the deadline set out in the action plan about the implementation of a corrective action.

Place, date		Signature/s of auditor/s		Signature of person responsible		
Serial no.	Requirement No.	Evaluation (C, D/K.O.)	Description of nonconformity	Agreed corrective actions	Scope	Deadline for correction
1						



Qualitätssicherung. Vom Landwirt bis zur Ladentheke.



**Company:**

**Date:**

**Review of the implementation of corrective actions**

Place, date

Signature/s of auditor/s

<b>Serial no.</b>	<b>Implemented</b>	<b>Not implemented</b>	<b>Comments (if any)</b>	<b>Date</b>
1				