

Audit checklist Agriculture Poultry Production (Spotaudit)

Audit details			
Scheme participant			
QS locations audited			
Additional location information, e.g. coordinators or identification number			
Name of contact			
Spotaudit	<input checked="" type="checkbox"/>		
Random sample audit	<input type="checkbox"/>		
Audit of special purpose	<input type="checkbox"/>		
Parallel audit	<input type="checkbox"/>		
Date of audit (from)		Date of audit (until)	
Start of audit (hh:mm)		Ende of audit (hh:mm)	
Audit duration (hh:mm)			
Combined audit (norm/standard/programme)	<input type="checkbox"/>		
Certification body			
First name/surname of auditor			
Repeated D evaluation/general K.O.	<input type="checkbox"/>	Remark repeated D evaluation/general K.O.	
Comments			
Preliminary audit results		Number of agreed corrective actions	

Place, date

Signature/s of auditor/s

I hereby confirm the data concerning the company and the audit.

I have received a copy of the audit report (at least front page) and of the corrective actions report.

Place, date

Signature of person responsible

Company details - Poultry farming

Name of company	
Street and house number	
Postal code and town	
Telephone/fax number	
Email address	
QS location number (VVVO-No.)	
Registered production scope no.	
QS identification number	
Name of person responsible	
Name of coordinator	

Scope - Poultry farming (Number of places = maximum number of places that can be used)

Production scope		Production number	Number of places
Poultry farming			
	Broiler production	3001	
	Turkey rearing	3002	
	Turkey production	3004	
	Peking duck rearing	3008	
	Peking duck production	3016	
	Laying hen farming	3032	

Additional information - Poultry farming

	yes	no
On farm mixer (production of own feed materials and compound feedstuff)		
Use of commercial mobile feed milling and mixing plants		
Transport (own animals, own vehicles)		
Transport less than 50 km		
Transport less than 65		
Transport over 65 km		

Company _____

Date _____

Require ment no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
<p>* = For this requirement the evidence or measurement tool used for evaluation of compliance with the QS requirement must be documented, regardless of the outcome of the assessment. # = In case of a nonconformity the corrective action for this criterion has to take place within 28 days (only valid for production and QS-GAP and FIAS!) .</p>										
2 General requirements										
2.1 General system requirements										
2.1.1 SPOT	1		D=K.O.	General company data						
2.1.2	1			Implementation and documentation of self-assessment *					X	
2.1.3	1			Fulfillment of initiated of the self-assessment					X	
2.1.4	1			Incident and crisis management					X	
3 Poultry production requirements										
3.1 Traceability and labelling										
3.1.1	1			Operational purchases and incoming goods					X	
3.1.2 SPOT	1			Verification of eligibility of delivery *						
3.1.3	1		D=K.O.	Marking and identification of livestock					X	
3.1.4	1		D=K.O.	Origin and marketing *					X	
3.1.5 SPOT	1		D=K.O.	Stock records *						

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.2 Animal welfare farming										
3.2.1 Monitoring and care of livestock *										
3.2.1.1 SPOT	1		D=K.O.	General farming requirements for Monitoring and care of livestock *						
3.2.1.2 SPOT	1		D=K.O.	Effective measures are in place to maintain the health of foot pads *						
3.2.2 SPOT	1		D=K.O.	General farming requirements *						
3.2.3 SPOT	1		D=K.O.	Handling sick and injured animals *						
3.2.4	1		D=K.O.	Shed floor					X	
3.2.5 SPOT	1			Shed climate, temperature, noise pollution, ventilation						
3.2.6 SPOT	1			Lighting *						
3.2.7 SPOT	1		D=K.O.	Space allowances *						
3.2.8 SPOT	1		D=K.O.	Alarm system *						
3.2.9	1			Emergency power generator					X	
3.2.10	1			Livestock transport *					X	
3.2.11 SPOT	1			Transportability						
3.2.12 SPOT	1			Requirements on loading and unloading equipment for livestock transport						


Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.2.13 Handling livestock during loading										
3.2.13.1 SPOT	1		D=K.O.	General requirements of handling livestock during loading						
3.2.13.2 SPOT	1		D=K.O.	Instructions for preliminary destocking of broilers						
3.2.14	1		D=K.O.	Proof of competence of the livestock owner					X	
3.3 Feed and feeding										
3.3.1 SPOT	1		D=K.O.	Feed supply *						
3.3.2 SPOT	1			Hygiene of feeding facilities						
3.3.3 SPOT	1			Feed storage						
3.3.4	1		D=K.O.	Feed procurement *					X	
3.3.5	1			Assignment of compound feed deliveries (bulk) to location numbers					X	
3.3.6	1			Feed production (on-farm mixer)					X	
3.3.7	1			Feed production in cooperation					X	
3.3.8	1		D=K.O.	Use of mobile feed milling and mixing plants					X	
3.4 Drinking water										
3.4.1 SPOT	1		D=K.O.	Water supply *						
3.4.2 SPOT	1			Hygiene of drinking facilities						

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.5 Animal health/medication										
3.5.1	1			Care contract with farm veterinarian					X	
3.5.2	1		D=K.O.	Implementation of the stock care *					X	
3.5.3	1		D=K.O.	Procurement and application of medicines and vaccines					X	
3.5.4 SPOT	1		D=K.O.	Storage of medicines and vaccines *						
3.5.5	1		D=K.O.	Identification of treated livestock					X	
3.6 Hygiene										
3.6.1 SPOT	1			Buildings and equipment						
3.6.2 SPOT	1			Hygiene on the farm						
3.6.3 SPOT	1			Handling litter, dung and feed leftovers						
3.6.4 SPOT	1			Carcass storage and pick-up						
3.6.5 SPOT	1			Pest monitoring and control						
3.6.6	1			Cleaning and disinfection measures					X	
3.7 Monitoring programme										
3.7.1	1		D=K.O.	Salmonellae monitoring					X	
3.7.2	1			Salmonellae monitoring: measures towards the reduction of salmonella					X	

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.7.3	1		D=K.O.	Monitoring of diagnostic data from slaughter					X	
3.8 Livestock transport										
3.8.1	1			Conditions for transporting own livestock with own vehicles					X	
3.8.2	1			Requirements for the means of transportation					X	
3.8.3	1		D=K.O.	Available space during livestock transport					X	
3.8.4	1			Cleaning and disinfection of means of transportation					X	
3.8.5	1			Delivery documents					X	
3.8.6	1		D=K.O.	Time intervals for feeding and watering as well as duration of transportation and resting times (for livestock transport over 50 km)					X	
3.8.7	1			Transport papers (for livestock transport over 50 km)					X	
3.8.8	1		D=K.O.	Proof of qualification for drivers/carers (for livestock transport over 65 km)					X	
d III VLOG-Additional Module										
d III.1 Requirement (only relevant for locations registered for VLOG-Additional Module)										
d III.1.1 SPOT	0			Requirement "ohne Gentechnik"						

Company _____

Date: _____

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D	E	Comments/corrective action number
										
* = For this requirement the evidence or measurement tool used for evaluation of compliance with the QS requirement must be documented, regardless of the outcome of the assessment.										
b I Regionalfenster										
b I.1 Requirements (only relevant for locations which have been registered in the Regionalfenster via their QS coordinator)										
b I.1.1	1			Identification of regional goods					X	
b I.1.2	1			Marking of delivery documents					X	

Company _____ Date _____

Calculation of audit result

1. Balance of subtotals

Calculation	A	B	C	D	E
(1) Number of evaluations					
Sum of evaluations (excluding E evaluations)					

2. Calculation of the proportion of C and D evaluations*

Proportion of C evaluations		(Number of C evaluations / sum of evaluations) * 100
Proportion of D evaluations		(Number of D evaluations / sum of evaluations) * 100
Proportion of C and D evaluations		Proportion of C + proportion of D

3. Preliminary audit result

		Percentage of C evaluations	Percentage of D evaluations	Percentage of C+D evaluations	Audit result		
<p>*Status I: If the 5 % target is exceeded, status I will still be assigned if there is only one C-evaluation. **Status II: If the percentage with regard to the proportion of D evaluations is exceeded, status II is assigned if only one D evaluation exists and no C evaluation</p>		max. 5,0%	0,0%		QS-Status I*		
		max. 10,0%	max. 3,0%	max. 10%	QS-Status II**		
		max. 20%	max. 10%	max. 20%	QS-Status III		
<table border="1"> <tr> <td>Number of K.O.</td> <td></td> </tr> </table>		Number of K.O.		Percentages exceeded		Audit not passed.	
Number of K.O.							
		K.O.		Audit not passed.			
		General K.O./ repeated D evaluation		Audit not passed.			

Company:

Date:

Corrective actions report

I hereby confirm that the following corrective actions were agreed upon between me and the auditor.

The certification body is to be informed no later than the expiry of the deadline set out in the action plan about the implementation of a corrective action.

Note: The correction deadline is a maximum of 28 days for all FIAS requirements and the documentation requirements: 2.1.1, 2.1.2, 3.4.1 und 3.9.5 (only valid for production!)

Place, date

Signature/s of auditor/s

Signature of person responsible

Serial no.	Requirement No.	Evaluation (C, D/K.O.)	Description of nonconformity	Agreed corrective actions	Scope	Deadline for correction
1						

Company: _____

Date: _____

Review of the implementation of corrective actions

Place, date

Signature/s of auditor/s

Serial no.	Implemented	Not implemented	Comments (if any)	Date
1				